

Policy: **Office Facility Standards – Naturopathy Addendum**

Date of Implementation: **December 16, 2010**

Product: **Specialty**

The standards for a professional office facility have been established and approved by American Specialty Health – Specialty’s (ASH) peer review committees. These committees are comprised of practicing clinicians who participate in ASH’s multidisciplinary practitioner networks. Each facility in which the practitioner intends to see patients must meet the facility standards, including site appearance, office/clinic policies, emergency procedures, access to treatment/services, safety, privacy, confidentiality, medical record components and storage, and the ability to meet expectations for the delivery of safe, professional, quality treatment/services and care.

The following facility criteria are **required** by ASH. Additional requirements for home or alternate facility locations are available online at:

- <https://www.ashlink.com/ASH/public/Providers/CQM/PracticeResource.aspx>.

The following facility and medical record standards are required of any facility in which a contracted practitioner intends to treat ASH members. If a facility is found to be non-compliant with any of these standards, ASH will work with the practitioner to come into compliance; however, those marked with an asterisk (*) are standards that can preclude a facility from being a part of the network.

Office/Clinic Appearance Requirements

- The interior and exterior of the facility and surrounding area are clean, neat, well maintained, professional in appearance, and free from safety hazards.
- The facility location and the entrance to the facility are easy to locate, including either a visible sign noting the practitioner’s name, office/clinic name, and/or specialty, or the address numbers on the building are clearly visible from the street and the appropriate entrance is easily located.
- The entrance and facility are handicapped accessible.
- *The waiting room/area is an appropriate size, well-lit and has adequate seating capacity based on one (1) seat per patient visit per hour for each treating practitioner within the office/clinic.

Required for Patient Privacy and Confidentiality

- *At least one room in the office/clinic must provide for complete physical and auditory patient privacy and confidentiality. At a minimum, this includes that the room has a door and is limited to interview/examination/treatment of one patient at a time. Confidential documents such as medical records or x-rays are secured and not accessible to anyone other than the practitioner/staff.
- *Telephone and fax lines are limited to use by the practitioners and staff only.
- Patient files (medical records, billing records, x-rays, etc.) are filed in an organized manner, readily accessible to the practitioner/staff, and not accessible to the public.

Required Appointment Availability

- In addition to the following appointment requirements, an after-hours answering machine or service must provide instructions to the patient that is calling on what to do in case of an emergency or urgent situation.
- The average in-office/in-clinic waiting time is thirty (30) minutes or less from the time of the patient's appointment.
- An ASH member must be able to schedule an urgent appointment within twenty-four (24) hours of the member's first contact with the office/clinic.
- An ASH member must be able to schedule a non-urgent appointment within seven (7) calendar days of a member's first contact with the office/clinic.

Office/Clinic Operations and Safety Procedure Requirements

- All exits from the office/clinic are clearly marked (e.g., exit sign over each exit door).
- There are smoke detectors or sprinklers in the office/clinic or fire alarms in the building.
- A fire extinguisher is readily available within the office/clinic space; visible; and depending on the type of fire extinguisher, either the gauge indicates it is adequately charged or the expiration date is in the future.
- There is a written evacuation plan in the form of a policy and/or a posted map showing available exit routes, and staff is trained in evacuation procedures.
- There is a written policy/procedure for medical emergencies (e.g., call 911).
- There is a written policy/procedure for patient non-compliance with health care advice.
- There is a written policy/procedure for confidentiality of medical records.
- There is a written policy/procedure regarding the safety of children (12 and under) in the office/clinic.
- There are written policies/procedures in compliance with established sharps, biohazard, infectious barrier rules and regulations, including disposal of contaminated waste.

Blood/Lab Studies

- If blood/lab studies are performed in the office/clinic, the blood/lab studies are either Clinical Laboratory Improvement Act (CLIA) waived services, or there is a current CLIA certification posted, and quality checks are documented.
- *If blood/lab studies are performed in the office/clinic, there is at least one sharps disposal container in each treatment room and appropriate disposal of the container.

Needles

- *If sharps (e.g., needles, lancets) are used in the office/clinic, only single-use are used.
- Bio-hazardous sharps waste containers are available in each treatment room.
- The office/clinic disposes of sharps waste containers by:
 - Disposal company pick-up service; or
 - Mail-In service; or
 - Chemical hardener prior to proper disposal; or
 - Transport to appropriate disposal site in accordance with local and/or state laws.

Surgical Instruments

- The practitioner uses disposable surgical and/or gynecological instruments; or reusable surgical and/or gynecological instruments are used and there is equipment for sterilizing/autoclaving the instruments.
- If the office/clinic performs procedures involving contaminated waste, there is a disposal procedure for contaminated waste (red bags).

Medications and Nutritional Supplements

- Medications, immunizations, vaccinations, IV fluids, homeopathic remedies, nutritional supplements, drug samples, etc., are stored away from public access and are within the expiration dates on the labels. Acceptable storage options are:
 - In a locked cabinet; or
 - With a physical barrier separating the area where medications and supplements are stored from areas of the office/clinic in which patients have access (e.g., behind the receptionist's counter, in a storage closet/cabinet, or the practitioner's private office); or
 - Empty "display" bottles may be placed in public areas as long as the actual bottles with supplements inside are stored in one of the above locations.
- Medications, immunizations, vaccinations, IV fluids, homeopathic remedies, drug samples, etc., are kept separate from other refrigerated items (labs, food, etc.) and stored at appropriate temperatures (refrigerator 35 - 50 degrees Fahrenheit or two to eight [2 – 8] degrees Celsius and freezer seven [7] degrees Fahrenheit or minus 14 degrees Celsius).

1 Physiotherapy Equipment

- 2 • *Physiotherapy equipment is safe, sanitary and in good working order.
- 3 • Clean gowns/towels are available for patient use, as appropriate during
- 4 examinations and/or physiotherapy.
- 5 • If physiotherapy pads are used: re-usable sponge or carbon-based physiotherapy
- 6 pads must be disinfected between each patient or if adhesive “gel” type
- 7 physiotherapy pads are used, each patient must have his/her own separate set, used
- 8 exclusively on that patient.

10 Hand Sanitation

- 11 • There is a sink with running water within the office/clinic space for the
- 12 practitioner/staff to disinfect their hands between patients.

14 **Child Safety**

15 (Children within the context of this document are defined as aged 12 and under)

- 16 • Mechanical tables (e.g., treatment tables with electrical or other moving parts) have
- 17 safety/emergency stop features such as a “kill switch” or button.
- 18 • Children are supervised by an adult other than the patient when mechanical tables
- 19 or similar devices are being utilized.
- 20 • Children are not left to wander unsupervised within the facility.
- 21 • Bio-hazardous sharps waste containers and small objects are removed from spaces
- 22 easily reachable by a child.
- 23 • Unused electrical outlets are covered with a safety plug.
- 24 • Water dispensers that have both hot and cold features should have a safety feature.
- 25 • Wires and/or cords are not within reach of children.
- 26 • All equipment is routinely validated to ensure it is operating properly.
- 27 • Bookshelves and other large pieces of furniture are secured and protected against
- 28 toppling over.
- 29 • Children are not left on a treatment table unattended.
- 30 • Warning signs are posted about the potential dangers to children of touching
- 31 equipment.

33 **X-Ray Equipment**

- 34 • *If x-rays are performed in the office/clinic, the power level of the x-ray unit is
- 35 capable of 100 kVp/200mA.
- 36 • If x-rays are performed in the office/clinic, a current x-ray operator’s license is on
- 37 display as required by state regulations.
- 38 • X-rays are filed in an organized manner and easily retrievable for practitioner and
- 39 staff, and filed away from public access.

1 **Medical Record Documentation**

2 ASH requires that a medical record file is limited to one patient and, at a minimum, adheres
3 to the following criteria:

- 4 • If the patient's benefit plan requires a referral, a copy of the referral must be kept
5 within the medical record.
- 6 • Each entry clearly identifies the practitioner providing the evaluation or procedure
7 by either initials, unique electronic identifier, or handwritten signature (even when
8 they are the only practitioner in the office/clinic). If an assistant provides the
9 services, not only is the assistant identified, but the supervising practitioner is also
10 identified.
- 11 • Each page in the medical record contains the patient's name and/or identification
12 number.
- 13 • The record must include the patient name, age or date of birth, address or telephone
14 number, and employer and marital status.
- 15 • The date for each visit is documented.
- 16 • Past medical history must be documented.
- 17 • Documentation by the practitioner that is legible to another clinician reviewing the
18 records.
- 19 • The chief complaint(s) or a problem list must be present. Significant illnesses and
20 medical conditions are also indicated on the problem list.
- 21 • Medications, allergies and adverse reactions are promptly noted in the record. If the
22 patient has no known allergies, or history of adverse reactions, this is appropriately
23 noted in the record.
- 24 • There must be documentation of history and physical examination pertinent to the
25 chief complaint(s) and health history.
- 26 • Results/reports of diagnostic tests and imaging (when received, ordered or
27 performed) are documented in the medical record and reflect review by the
28 practitioner who ordered them, as evidenced by the date and the practitioner's
29 initials, unique electronic identifier or handwritten signature.
- 30 • Contraindications to care, if applicable, must be documented.
- 31 • There must be evidence of coordination of care with other health care practitioners,
32 if applicable.
- 33 • If a consultation is requested, there is a note from the consultant in the record and
34 documentation supporting the medical necessity of the consultation, as well as a
35 review of the report.
- 36 • Any instructions provided to the patient related to the treatment plan must be
37 documented.
- 38 • Documented treatment plan.

1 Daily treatment notes must also include the following in a manner that is clear to another
2 clinician:

- 3 • Description of subjective and objective findings.
- 4 • Working diagnosis or symptom description.
- 5 • Treatment rendered (location and duration).
- 6 • Treatment response and/or adverse effects.
- 7 • Plan (e.g., discharge, follow-up plan, return in one [1] month).

8
9 Medical records must either be documented in English or translated to English prior to
10 providing ASH or any other requesting party (clinician, insurance carrier, state board, etc.)
11 with a copy of the medical records.

12
13 ASH may request that a practitioner send a copy of patient(s) medical records at any time.
14 When medical records are requested, the medical records will be audited against the above
15 criteria.

16
17 Please see the *Medical Record Maintenance and Documentation Practices (CPG 110 – S)*
18 clinical practice guideline (CPG) online at
19 <https://www.ashlink.com/ASH/public/Providers/CQM/techniqueprocedurecpgs.aspx> for
20 qualitative documentation criteria. These criteria were developed by clinical peers based
21 upon the professional standards documented in the references noted at the end of the CPG.