

Clinical Practice Guideline: Augmentative and Alternative Communication (AAC) and Speech Generating Devices (SGD)

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Product: Specialty

Related Policies:
CPG 165: Autism Spectrum Disorders
CPG 166: Speech-Language Pathology/Speech Therapy Guidelines
CPG 257: Developmental Delay Screening and Testing

GUIDELINES

Medically Necessary

American Specialty Health– Specialty (ASH) considers augmentative and alternative communication (AAC) devices and speech generating devices (SGDs) as medically necessary durable medical equipment (DME) upon meeting **all of** the following criteria:

- A formal speech evaluation has been performed by a speech-language pathologist with documented permanent and severe speech disability in their primary language which must include at least **all of** the following items:
 - A description of the communication goals expected to be achieved and treatment options (past and current);
 - Treatment plan with a training schedule for the selected device;
 - Daily communication assessment indicating natural modes of communication;
 - Rationale for the selected device and accessories and medical justification for the device, and if a high tech* device is requested, it is demonstrated that a low tech* communication device or system is inadequate to meet the individual's functional communication needs;
 - Demonstration that individual is capable of using the device and any accessories successfully;
 - Evaluation of the current communication impairment, including type, severity, language skills, cognitive ability, and prognosis;
 - If an upgrade to another device is necessary, information as to why functionally necessary;
 - A copy of the Speech Language Pathologist’s (SLP's) written evaluation and recommendation have been forwarded to the individual's treating physician prior to ordering the device.
- The individual has a permanent and severe expressive speech impairment such as but not limited to dysarthria, anarthria, aphasia, apraxia, aphonia, or severe speech

- 1 impairment associated with an autism spectrum disorder, pervasive developmental
2 disorders, or cerebral palsy.
- 3 • Other forms of treatment have failed, are contraindicated or not appropriate.
 - 4 • Speaking needs cannot be met using natural communication methods.
 - 5 • The individual’s speech disability will benefit from use of the device.
 - 6 • The SGD is available in the individual’s primary language and is being used
7 primarily for speech but may also include the following capabilities:
 - 8 ○ Email, text, or phone message generation which allows the individual to
9 communicate remotely;
 - 10 ○ Download of updates to the covered device features from the manufacturer or
11 supplier of the device.
 - 12 • The SLP performing the evaluation is not an employee nor has a financial
13 relationship with the supplier of the SGD.
- 14 * See description/background for more information

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16 For purposes of this guideline, SLPs are licensed health professionals trained in the
17 diagnosis and treatment of speech and language disorders. The SLP should hold a
18 Certificate of Clinical Competence from the American Speech and Hearing Association.

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20 Accessories for speech generating devices may be medically necessary for an individual to
21 use a device if criteria for the base device are met and the medical necessity for each
22 accessory is clearly indicated as part of the speech-language pathologist’s formal
23 evaluation. The selection of accessories is determined by the speech-language pathologist
24 and as necessary by an occupational therapist and is based on the user’s physical
25 capabilities, including motor skills and visual abilities. The accessories should be critical
26 to the proper functioning and maintenance of the device and should not be for the comfort
27 or convenience of the individual. Many of the accessories are used by individuals with
28 neurological conditions to enable them to use the device. Accessories for speech generating
29 devices include, but are not limited to:

- 30 • Access devices (HCPCS code E2599) that enable direct or indirect selection of
31 letters, words, or symbols via direct or indirect selection techniques:
 - 32 ○ Non-electronic devices include: pointers (head and foot), splints, mouth stick,
33 and keyguards which enables the user to make a direct selection when an
34 individual has difficulty using a standard keyboard.
 - 35 ○ Electronic (direct) devices include: infrared pointers, light pointers, eye-gaze
36 systems, joysticks, optical head pointers, head controlled mice.
 - 37 ○ Electronic (indirect) devices include: pneumatic switch, rocking lever switch,
38 tread switch.
- 39 • Ocular tracking device, any type, describes an SGD accessory used with an SGD
40 and SGD software to allow a speech-impaired person to use his or her eyes to
41 communicate. Ocular tracking devices allow for the person’s eye movements to be
42 calibrated allowing eye movements to target icons on screen.

- 1 • Head control mouse, any type, describes an SGD accessory that monitors head
2 movement and translates those movements into actions by the pointer on the SGD
3 screen.
- 4 • Alternative input device, any type, describes any accessory other than an ocular
5 tracking device or head control mouse, not integrated into the SGD hardware, used
6 to control the actions of an SGD. Examples of alternative input devices include (not
7 all-inclusive): specialty keyboards, joysticks, trackballs, trackpads, buddy buttons,
8 jellybeans, beamers, roller balls, round pads, pal pads.
- 9 • Protective key guard, any type describes an overlay for a keyboard, alternative input
10 device or SGD screen that assists the beneficiary in preventing inadvertent selection
11 of a button, icon, or other input.
- 12 • Electronic components that allow the SGD to be operated by the drive control
13 interface of a power wheelchair.
- 14 • Mounting systems (HCPCS code E2512) are necessary to place SGD, switches, and
15 other access peripherals in a stable position relative to the user. Mounting systems
16 may be used to attach to a wheelchair, desk or be a floor-based device.
- 17 • Computers and tablets in general are not considered DME because they are useful
18 in the absence of an illness or injury.

19
20 For any subsequent upgrade of equipment or software, or accessories to a previously issued
21 device, information regarding the functional benefit to the individual of the upgrade
22 compared to the initially provided device must be submitted to demonstrate medical
23 necessity. Software that enables a laptop computer, desktop computer, or PDA to function
24 as a SGD is considered an SGD; however, installation of the program or technical support
25 is not separately reimbursable. Only one device or software application at a time is
26 considered medically necessary per individual.

27 **Not Medically Necessary**

28
29 ASH considers the following not medically necessary:

- 30 • If the above criteria are not met.
- 31 • Multi-purpose, general consumer electronic devices such as personal digital
32 assistants (PDAs), computers, tablet devices (e.g., iPads), smart phones, electronic
33 mail devices and pagers, because they are not primarily medical in nature and do
34 not meet the definition of DME.
- 35 • Devices that are not dedicated speech devices but are devices that are capable of
36 running software for purposes other than for speech generation, (e.g., devices that
37 can also run a word processing package, an accounting program, or perform other
38 non-medical functions).

- 1 • Features of a speech generating device that are not used to meet functional speaking
- 2 or communication needs, including but not limited to:
- 3 ○ Computing hardware or software not necessary to allow for generation of
- 4 audible/verbal speech, email, text, or phone messages, including:
- 5 ▪ Hardware or software used to create documents and spreadsheets, play
- 6 games or music.
- 7 ▪ Video communications or video conferencing.
- 8 • Internet or phone services or any modification to a patient’s home to allow use of
- 9 the speech generating device are not medically necessary because such services or
- 10 modifications could be used for non-medical equipment such as standard phones or
- 11 personal computers.
- 12 • A device that is useful to someone without severe speech impairment is not
- 13 considered a speech generating device for ASH medical necessity purposes.
- 14 • Multi-lingual modules for SGDs.
- 15 • SGDs using pre-recorded messages (per benefit description only).

16
 17 Speech may gradually improve after head trauma or stroke. For these acquired disorders,
 18 SGDs are used as a last option. Therefore, use of an SGD is not usually initiated less than
 19 4 to 6 months after trauma or stroke unless patient is ready for an assessment and SGD at
 20 an earlier time with modifications expected later.

21
 22 This guideline does not apply to electronic speech aids that are used by laryngectomized
 23 persons and persons with a permanently inoperative larynx. These are considered
 24 prosthetics. There are several types of electronic speech aids. One of the most common
 25 operates by placing a vibrating head against the side of the throat or cheek. Another is an
 26 intraoral method which produces sound by inserting a tube in the mouth that amplifies
 27 sound waves. The intraoral method is more effective for a person who has had radical neck
 28 surgery and/or extensive radiation to the anterior part of the neck resulting in scarring.

29
 30 **HCPCS Codes and Descriptions**

HCPCS Code	HCPCS Code Description
E1902	Communication board, non-electronic augmentative or alternative communication device
E2500	Speech generating device, digitized speech, using pre-recorded messages, less than or equal to 8 minutes recording time
E2502	Speech generating device, digitized speech, using pre-recorded messages, greater than 8 minutes but less than or equal to 20 minutes recording time

HCPCS Code	HCPCS Code Description
E2504	Speech generating device, digitized speech, using pre-recorded messages, greater than 20 minutes but less than or equal to 40 minutes recording time
E2506	Speech generating device, digitized speech, using pre-recorded messages, greater than 40 minutes recording time
E2508	Speech generating device, synthesized speech, requiring message formulation by spelling and access by physical contact with the device
E2510	Speech generating device, synthesized speech, permitting multiple methods of message formulation and multiple methods of device access
E2511	Speech generating software program, for personal computer or personal digital assistant
E2599	Accessory for speech generating device, not otherwise classified
V5336	Repair/modification of augmentative communicative system or device (excludes adaptive hearing aid)

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BACKGROUND

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Augmentative and alternative communication (AAC) devices and SGD are speech aids to provide individuals with severe speech impairment or absent speech, the ability to meet their functional communication needs. Etiologies of speech impairment in children may include cerebral palsy, intellectual/developmental disorder, autism-like disorders and other genetic or speech disorders. Etiologies in adults may include stroke, traumatic brain injury, amyotrophic lateral sclerosis (ALS), Parkinson's disease and head and neck cancers among others. There may be associated functional disabilities that also limit the individual's ability to use alternative natural methods of communication such as writing notes, using sign language, or even to manipulate a low-tech augmentative communication system.

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There are numerous communication devices currently available from multiple manufacturers. Low technology, non-electronic AAC devices include boards that use letters, words, phrases, pictures and/or symbols (communication boards), mini boards, schedule boards, and conversation books. They may be purchased, homemade, or developed by the speech therapist. High technology devices are electronic, generally SGDs, and usually computer based. Speech generating devices (SGDs) are durable medical equipment utilized for communication to help individuals who have severe speech impairments/communication disorders to be able to meet their functional speaking needs. The individuals may also have impairments that interfere with writing or sign language. A SGD may also be considered an electronic augmentative and alternative communication

1 device that generates speech output. Augmentation and alternative communication involve
 2 the attempt to compensate for the impairments of individual with severe impairment.
 3 Speech is the articulation and phonation of language sounds. Language refers to symbolic
 4 communication and is the ability to converse, comprehend, repeat, read, and write. Severe
 5 speech impairments/communication disorders may include (Bradley et al., 2008; National
 6 Institute on Deafness and Other Communication Disorders [NIDCD], 2009):

- 7 • **Dysarthria:** Dysarthria is a speech disorder that may involve paralysis, weakness,
 8 or incoordination of muscles/or nerves which impact one’s ability to articulate
 9 sounds and words. It frequently involves inability to control voice. Dysarthria
 10 may be the result of a developmental disability or acquired neuromuscular
 11 involvement.
- 12 • **Apraxia:** The impairment stems from a deficit in the planning and programming of
 13 the sequence of movements for speech and occurs despite the fact that the same
 14 oral muscles may move normally when speech is not involved. The most common
 15 cause is stroke; however, apraxia may also occur with tumor or traumatic brain
 16 injury.
- 17 • **Aphasia:** This is the impairment of an individual’s ability to understand and
 18 formulate language. Aphasia results from brain damage, typically involving the
 19 language-dominant (i.e., left) cerebral hemisphere. This disorder is a total or partial
 20 loss of the ability to use or understand language; usually caused by stroke, brain
 21 disease, or injury.
- 22 • **Anarthria:** This disorder is a total loss of ability to articulate (known words co-
 23 existing with inability to move oral structures).

24
 25 Speech generating devices provide multiple methods of message formulation and are used
 26 therapeutically to establish, develop, or maintain the ability to communicate functional
 27 needs. These devices or aids are electronic, and computer based and can generate
 28 synthesized (computer-generated) and/or digitized (natural human) speech output. SGDs
 29 may utilize either digitized or synthesized speech. Digitized SGDs are those that deliver
 30 "whole message" speech output. These devices deliver words or phrases that have been
 31 pre-recorded by an individual other than the user of the speech generating device, who can
 32 play it back on demand. Synthesized SGDs are those that translate the user’s input into
 33 device-generated speech using algorithms representing linguistic rules. Users are not
 34 limited to pre-recorded messages but can create messages independently according to their
 35 communication needs. These devices may also be called text to speech systems.

36
 37 Thus, the speech is generated using one of the following methods:

- 38 • Digitized audible/verbal speech output, using pre-recorded messages;
- 39 • Synthesized audible/verbal speech output which requires message formulation by
 40 spelling and device access by physical contact with the device-direct selection
 41 techniques;

- 1 • Synthesized audible/verbal speech output which permits multiple methods of
- 2 message formulation and multiple methods of device access; or
- 3 • Software that allows a computer or other electronic device to generate
- 4 audible/verbal speech.

5
6 Other features of the device include the capability to generate email, text, or phone
7 messages to allow the patient to “speak” or communicate remotely, as well as the capability
8 to download updates to the covered features of the device from the manufacturer or supplier
9 of the device.

10 Speech generating devices are characterized by:

- 11 • Being a device that generates speech, used solely by the individual who has a severe
- 12 speech or language impairments;
- 13 • May have digitized speech output, using pre-recorded messages, less than or equal
- 14 to 8 minutes recording time;
- 15 • May have digitized speech output, using pre-recorded messages, greater than 8
- 16 minutes recording time;
- 17 • May have synthesized speech output which requires message formulation by
- 18 spelling and device access by physical contact with the device-direct selection
- 19 techniques;
- 20 • May have synthesized speech output which permits multiple methods of message
- 21 formulation and multiple methods of device access; or
- 22 • May be software that allows a laptop computer or personal digital assistant (PDA)
- 23 to function as a speech generating device.

24
25 Synthesized speech, unlike pre-recorded messages of digitized speech, is a technology that
26 translates a user's input into device-generated speech using algorithms representing
27 linguistic rules. Users of synthesized speech SGDs are not limited to pre-recorded
28 messages but rather can independently create messages as their communication needs
29 dictate. Some SGDs require message formulation by spelling and access by physical
30 contact with a keyboard, touch screen, or other display containing letters. Speech
31 generating software programs enable a laptop computer, desktop computer or personal
32 digital assistant (PDA) to function as an SGD. Within this guideline, the term SGD also
33 describes speech generating software programs. Speech generating devices may permit
34 multiple methods of message formulation and multiple methods of device access. For
35 purposes of this guideline, a SGD with multiple methods of message formulation should
36 include message selection by 2 or more of the following methods: letters, words, pictures,
37 and symbols. A SGD with multiple methods of access should include the capability to
38 access the device by 2 or more of the following: direct physical contact with a keyboard or
39 touch screen, indirect selection techniques and a specialized access device such as a
40 joystick, head mouse, optical head pointer, light pointer, infrared pointer, scanning device,
41 or Morse code.

1 Upgrades of a SGD are subsequent versions of a SGD's software program or memory
2 modules that may include enhanced features. Mounting switches are devices necessary to
3 place the SGD, switches, and other access devices within the reach of the patient.
4 Accessories for SGDs include, but are not limited to, access devices that enable selection
5 of letters, words, or symbols via direct or indirect selection techniques. Examples of access
6 devices include, but are not limited to, optical head pointers, joysticks, and SGD scanning
7 devices.

9 EVIDENCE REVIEW

10 Van der Meer and Rispoli (2010) completed a review of literature of communication
11 interventions involving SGDs for children with autism. Twenty-three studies met inclusion
12 criteria. Intervention, most commonly targeting requesting skills via operant/behavioral
13 techniques or naturalistic teaching procedures, was provided to 51 children ages 3-16 years.
14 Positive outcomes were reported for 86% of the studies and 78% of the studies were
15 categorized as providing conclusive evidence. Authors concluded that the literature
16 suggests that SGDs are viable communication options for children with autism. They also
17 state that more research is needed in many related areas. Rispoli et al. (2010) did a similar
18 review on the use of SGDs as a communication intervention for individuals with
19 developmental disabilities. Thirty-five studies met inclusion criteria providing
20 interventions to 86 individuals ages 1-42. Communication skills targeted included
21 requesting, social or conversational skills, labelling items and receptive language.
22 Intervention approaches were categorized as using Discrete Trial Training, Milieu teaching
23 or a combined instructional approach. Positive outcomes were reported in 86% of the
24 studies with 54% of studies categorized as providing conclusive evidence. Authors
25 concluded that the base of literature may be promising however further research is needed.
26 van der Meer et al. (2012) compared speed of acquisition and preference for using a SGD
27 versus manual signing (MS) as augmentative and alternative communication (AAC)
28 options. Only four children with developmental disabilities ages 5-10 years were taught to
29 request preferred objects using an iPod®-based SGD and MS. A systematic choice-making
30 paradigm was implemented to determine if the children showed a preference for using
31 either the SGD or MS. Three participants exhibited a preference for the SGD while the
32 remaining participant demonstrated a preference for using MS. Results support previous
33 studies showing that individuals with DD often show a preference for different AAC
34 options and extend previous data by suggesting that acquisition and maintenance was better
35 for the preferred option.

36
37 Ganz et al. (2012) meta-analyzed the single case research on the use of aided AAC with
38 individuals with autism spectrum disorders (ASD). Twenty-four single-case studies
39 including 58 subjects were analyzed via the Improvement Rate Difference (IRD). Results
40 indicated that, overall, aided AAC interventions had large effects on targeted behavioral
41 outcomes in individuals with ASD. AAC interventions had positive effects on all of the
42 targeted behavioral outcome (social skills, challenging skills and academics); however,

1 effects were greater for communication skills than other categories of skills. Effects of the
2 Picture Exchange Communication System and speech-generating devices were larger than
3 those for other picture-based systems, though picture-based systems did have small effects.
4 Ganz et al. (2014) evaluated the moderating effects of intervention type of aided
5 augmentative and alternative communication device (AAC) and setting on outcomes for
6 students with ASD. Thirty-five single case design research studies were evaluated. Results
7 indicated that the largest effects for aided AAC were observed in general education
8 settings. With respect to communication outcomes, both speech generating devices (SGDs)
9 and the Picture Exchange Communication System (PECS) were associated with larger
10 effects than other picture-based systems. With respect to challenging behavior outcomes,
11 SGDs produced larger effects than PECS. Authors summarized the importance of
12 considering the setting and selection of AAC when designing interventions. Ganz et al.
13 (2014) completed a meta-analysis investigating the impact of individual characteristics on
14 the effectiveness of AAC device use. Three types of aided AAC were evaluated: the Picture
15 Exchange Communication System (PECS), speech-generating devices (SGDs), and other
16 picture-based AAC. Effectiveness was measured via the Improvement Rate Difference.
17 Results indicated that AAC has small to moderate effects on speech outcomes, and that
18 SGDs appear to be most effective when considering any outcome measure with individuals
19 with ASD without comorbid intellectual/developmental disorders (IDD). PECS appears to
20 be most effective when considering any outcome measure with individuals with ASD and
21 IDD. SGDs and PECS were the most effective type of AAC for preschoolers, when
22 aggregating across outcome measures. No difference was found between systems for
23 elementary-aged and older individuals. The authors noted the limitations of the meta-
24 analyses included the use of single-case research studies and small numbers of studies that
25 investigated the specific comorbid disabilities and the impact on effectiveness of SGDs.
26 The ongoing research of SGDs as a specific intervention for individuals with ASD was
27 recommended.

28
29 Almirall et al. (2016) compared the growth in communications outcomes among three
30 adaptive interventions in school-age children with autism spectrum disorder (ASD) who
31 were minimally verbal. Sixty-one children, ages 5-8 years, participated in a sequential,
32 multiple-assignment randomized trial (SMART). All children received a developmental
33 behavioral communication intervention: joint attention, symbolic play, engagement, and
34 regulation (JASP) with enhanced milieu teaching (EMT). The SMART included three 2-
35 stage, 24-week adaptive interventions with different provisions of a speech-generating
36 device (SGD) in the context of JASP+EMT. The first adaptive intervention, with no SGD,
37 initially assigned JASP+EMT alone, then intensified JASP+EMT for slow responders. In
38 the second adaptive intervention, slow responders to JASP+EMT were assigned
39 JASP+EMT+SGD. The third adaptive intervention initially assigned JASP+EMT+SGD;
40 then intensified JASP+EMT+SGD for slow responders. Analyses examined between-
41 group differences in change in outcomes from baseline to Week 36. Verbal outcomes
42 included spontaneous communicative utterances and novel words. Nonlinguistic

1 communication outcomes included initiating joint attention and behavior regulation, and
2 play. The adaptive intervention beginning with JASP+EMT+SGD was estimated as
3 superior. Significant between-group differences were noted in change in spontaneous
4 communicative utterances and initiating joint attention. Authors concluded that minimally
5 verbal school-age children with ASD make significant gains in communication outcomes
6 with an adaptive intervention beginning with JASP+EMT+SGD.

7
8 Gervarter et al. (2016) aimed to teach individuals with autism spectrum disorder (ASD)
9 and limited vocal speech to emit target vocalizations while using a speech-generating
10 device (SGD). Only 4 individuals participated and of the 4 participants, 3 began emitting
11 vocal word approximations with SGD responses after vocal instructional methods (delays,
12 differential reinforcement, prompting) were introduced. Two participants met mastery
13 criterion with a reinforcer delay and differential reinforcement, and 1 met criterion after
14 fading an echoic model and prompt delay. For these participants, vocalizations initiated
15 before speech outputs were shown to increase, and vocalizations generalized to a context
16 in which the SGD was absent. The 4th participant showed high vocalization rates only
17 when prompted. The results suggest that adding vocal instruction to an SGD-based
18 intervention can increase vocalizations emitted along with SGD responses for some
19 individuals with ASD. However, given the methodologic concerns, further research is
20 necessary to support results.

21
22 Lorah et al. (2015) reviewed the research on the use of portable, off-the-shelf handheld
23 devices, such as tablet based computers (i.e., iPad®, Galaxy®) or portable multimedia
24 players (i.e., iPod®) adapted to function as speech generating devices for individuals with
25 autism spectrum disorders or related developmental disabilities. Authors conclude that in
26 general, participants using these devices acquired verbal repertoires quickly. Studies
27 comparing these devices to picture exchange or manual sign language found that
28 acquisition was often quicker when using a tablet computer and that the vast majority of
29 participants preferred using the device to picture exchange or manual sign language.
30 Authors report that future research in interface design, user experience, and extended
31 verbal repertoires is recommended.

32
33 Thiemann-Bourque et al. (2017) examined effects of a peer-mediated intervention that
34 provided training on the use of a speech-generating device for preschoolers with severe
35 autism spectrum disorder (ASD) and peer partners. Following peer training, intervention
36 effects were replicated across 3 peers, who all demonstrated an increased level and upward
37 trend in communication acts to their classmates with ASD. Outcomes also revealed
38 moderate intervention effects and increased levels of peer-directed communication for 3
39 children with ASD in classroom centers. Additional analyses revealed higher rates of
40 communication in the added context of preferred toys and snacks. The children with ASD
41 also demonstrated improved communication reciprocity and peer engagement. Authors
42 concluded that results provided preliminary evidence on the benefits of combining peer-

1 mediated and speech-generating device interventions to improve children's
2 communication. Furthermore, it appears that preferred contexts are likely to facilitate
3 greater communication and social engagement with peers.
4

5 Thiemann-Bourque et al. (2018) examined the effects of incorporating a peer-mediated
6 approach into a speech-generating device (SGD) intervention on communication of 45
7 nonverbal and minimally verbal preschoolers with autism spectrum disorder (ASD) and 95
8 peers without disabilities. The SGD was an iPad 2 (Apple) with voice output app. Children
9 were randomly assigned to an experimental treatment that trained peers on use of the SGD
10 or a business-as-usual comparison condition with untrained peers. Communication
11 outcomes were measured for both children with ASD and peers. Children receiving the
12 treatment demonstrated significant increases in rates of communication and more balanced
13 responses and initiations (a measure of reciprocity) than children in the comparison group.
14 They were able to generalize improvements and maintain communication gains. Treatment
15 fidelity was high for school staff and peer implementation. Authors concluded that these
16 results support positive effects on communication of teaching young children with ASD
17 and peers without disabilities to use the same SGD system in typical preschool activities.
18 SGD interventions that utilize peer-mediated approaches may improve core deficits in
19 communication and reciprocity and allow for greater classroom social participation and
20 interactions with peers.
21

22 Crowe et al. (2021) completed a mega-review of literature reviews, systematic reviews,
23 and meta-analyses on interventions using aided augmentative and alternative
24 communication (AAC) interventions for children with intellectual and developmental
25 disabilities from 2000 to mid-2020 was conducted. This mega-review synthesizes
26 information on aided AAC interventions for children with intellectual and developmental
27 disabilities who have complex communication needs. Data from this overview of research
28 literature indicate that review methodological quality is improving slightly over time. Most
29 of the research used single-case research designs, utilized behavioral interventions, was
30 conducted with participants using a speech-generating device, was conducted with children
31 diagnosed with autism spectrum disorder ages 5–18 in general education settings, and is
32 most frequently synthesized in systematic reviews of literature. This mega-review suggests
33 that increasing generalization and maintenance programming before intervention begins,
34 reporting out greater detail on participant demographics and skills, and ensuring that
35 lasting, socially important behavior change takes place will improve quality of life for
36 individuals who use AAC.
37

38 White et al. (2021) evaluated the effects of augmentative and alternative communication
39 (AAC) on speech development in children with autism spectrum disorders (ASD). Twenty-
40 five single case design articles and three group design articles published between 1975 and
41 May 2020 met inclusion criteria related to participant characteristics, intervention type,
42 design, and visual analysis of dependent variable outcomes. Overall, AAC resulted in

1 improved speech production; however, speech gains that did occur did not surpass AAC
2 use.

3
4 Muttiah et al. (2021) summarized the current evidence base on communication-based
5 interventions and partner training in LMICs (Low-and Middle-Income Countries) to
6 explore and identify gaps in the AAC evidence base and guide future research. A total of
7 18 studies were identified. The results revealed many positive outcomes arising from AAC
8 interventions, including increased communication, improved participation, increased
9 knowledge about communication, and increased use of partner communication strategies,
10 thus adding to the evidence base that AAC can be successfully implemented in LMICs.
11 However, these studies did not broadly represent most LMICs and there were only a
12 handful of indirect intervention studies training communication partners. To this end, there
13 is an urgent need to expand the level of AAC intervention research conducted in LMICs in
14 order to better serve individuals with complex communication needs living in these
15 countries.

16
17 Leonet et al. (2021) evaluated the latest available evidence regarding augmentative and
18 alternative communication (AAC) interventions in children from 0 to 6 years old diagnosed
19 with various disabilities. Twenty-nine of 1,709 studies met the inclusion criteria for this
20 review. This analysis revealed that children with different diagnoses show improvements
21 in expressive and receptive communication, functional communication behaviors,
22 communication participation skills, interaction strategies, and symbol and multi-symbol
23 production and comprehension by using various AAC systems.

24
25 Langarika-Rocafort et al. (2021) completed a systematic review was to identify, appraise,
26 and critically synthesize the latest available evidence on the effects of augmentative and
27 alternative communication (AAC)-based interventions on communication skills in children
28 aged between 6 and 10 years with mixed diagnoses. This review included 14 studies from
29 a total of 1,204 found through an electronic search. The AAC interventions studied were
30 effective at improving various outcomes in children with mixed diagnoses. Interventions
31 that focused on narrative skills were the most common type. When considering the quality
32 of the studies, the independence of assessors, data analysis, replication, and generalization
33 of interventions were the weaker areas. Interventions analyzed in this review improve
34 communication skills, including phonological awareness, vocabulary, requesting, and
35 developing narrative skills in children aged between 6 and 10 years with mixed diagnoses.
36 The results of one study also indicate that the acquisition of skills using an AAC method
37 is superior when the child prefers the method.

38
39 Dada et al. (2021) mapped and synthesized research evidence of the effects that aided and
40 unaided AAC interventions have on the receptive language of children with developmental
41 disabilities. A total of 16 studies met the inclusion criteria. The review revealed positive
42 associations between aided and unaided AAC, vocabulary acquisition and symbol

1 comprehension. Authors concluded that AAC interventions may have merit for the
2 development of receptive language skills in children with developmental disabilities.

3
4 Pak et al. (2022) state that optimal augmentative and alternative communication (AAC)
5 systems for children with complex communication needs depend in part on child
6 characteristics, child preferences, and features of the systems. Authors conducted a meta-
7 analysis to describe and synthesize single case design studies comparing young children’s
8 acquisition of communication skills with speech-generating devices (SGDs) and other
9 AAC modes. Nineteen single case experimental design studies with 66 participants (M
10 age = 4.9 years) met inclusion criteria. All but one study featured ‘requesting’ as the
11 primary dependent variable. Visual analysis and meta-analysis indicated no differences
12 between use of SGDs and picture exchange for children learning to request. Children
13 demonstrated preferences for and learned to request more successfully with SGDs than
14 with manual sign. Children who preferred picture exchange also learned to request more
15 easily with picture exchange than with SGDs. Young children with disabilities may be able
16 to request equally well with SGDs and picture exchange systems in structured contexts.
17 More research is needed comparing AAC modes with diverse participants, communication
18 functions, linguistic complexity, and learning contexts.

19
20 Caron et al. (2023) evaluated the effectiveness of systematic literacy instruction with
21 materials modified to teach letter-sound correspondences (LSC) to pre-adolescents and
22 adolescents with autism spectrum disorder (ASD) with minimal or no speech who use
23 AAC. Individuals who use augmentative and alternative communication (AAC) are often
24 excluded from learning literacy skills that use phonological approaches due to challenges
25 with verbal production of speech sounds. A single subject design across three letter-sound
26 sets was used, with 3 individuals with ASD. A total of 12 LSC were targeted in the
27 intervention. During the intervention, the participants were instructed using model, guided,
28 and independent practice trials using low-tech letter tiles followed by two extension
29 activities: letter sorting and initial letter-sound picture books. A functional relationship was
30 established between the LSC intervention and the percent of correct responses on the LSC
31 assessment probes. Very large and large effects sizes were calculated for all participants
32 across the three LSC sets. The study adds to the very limited research base related to
33 phonics instruction for older learners (ages 9 to 18) with ASD, demonstrating that LSC
34 progress can still be made at an older age with systematic instruction.

35
36 Sterrett et al. (2023) described children's responsiveness to SGD input modeled by a social
37 partner during adult-child play interactions over a 24-week intervention trial and explore
38 the effect of that responsiveness on spoken language growth. A secondary analysis
39 consisted of 31 children with less than 20 functional words at study entry who received a
40 blended behavioral intervention (JASPER + EMT) as part of a randomized controlled trial.
41 Significant improvements were seen in rate of responsiveness to both adult SGD models
42 and adult natural speech models; only rate of responsiveness to SGD models at entry was

1 a significant predictor of frequency of commenting and was a more robust predictor of
2 number of different words post-intervention. Lastly, at entry, children with more joint
3 attention and language responded to SGD models at significantly higher rates. Attention
4 and responsiveness to SGD output may be important mechanisms of language growth and
5 children who have more joint attention skills may particularly benefit from use of an SGD.

6
7 Gilroy et al. (2023) designed a delayed intervention start randomized controlled trial to
8 compare improvements in functional communication following augmentative and
9 alternative communication (AAC) interventions. The study compared outcomes from
10 function-based applied behavior analytic (ABA) and eclectic non-ABA forms of
11 classroom-based communication strategies (waitlist control) as well as from high- and low-
12 tech forms of AAC. High-tech AAC consisted of tablet-based communication, and low-
13 tech AAC used an exchange of picture cards. The community-based sample consisted of
14 29 autistic children with a co-occurring intellectual disability. Participants were
15 randomized to groups (AAC, waitlist control), and each group received approximately 3
16 months of communication intervention. Multilevel modeling of learner outcomes indicated
17 that the function-based approach produced greater improvements than the eclectic
18 alternative, but significant differences were not observed between outcomes of high- and
19 low-tech forms of function-based AAC. These results are consistent with earlier
20 investigations and provide supporting evidence that both high- and low-tech forms of
21 function-based intervention are effective for use with autistic children with accompanying
22 intellectual disability. Additional discussion is provided regarding further research into
23 how technology is applied and incorporated into behavior analytic programming.

24 **PRACTITIONER SCOPE AND TRAINING**

25
26 Practitioners should practice only in the areas in which they are competent based on their
27 education, training, and experience. Levels of education, experience, and proficiency may
28 vary among individual practitioners. It is ethically and legally incumbent on a practitioner
29 to determine where they have the knowledge and skills necessary to perform such services
30 and whether the services are within their scope of practice.

31
32 It is best practice for the practitioner to appropriately render services to a member only if
33 they are trained, equally skilled, and adequately competent to deliver a service compared
34 to others trained to perform the same procedure. If the service would be most competently
35 delivered by another health care practitioner who has more skill and training, it would be
36 best practice to refer the member to the more expert practitioner.

37 Best practice can be defined as a clinical, scientific, or professional technique, method, or
38 process that is typically evidence-based and consensus driven and is recognized by a
39 majority of professionals in a particular field as more effective at delivering a particular
40 outcome than any other practice (Joint Commission International Accreditation Standards
41 for Hospitals, 2020).

1 Depending on the practitioner’s scope of practice, training, and experience, a member’s
 2 condition and/or symptoms during examination or the course of treatment may indicate the
 3 need for referral to another practitioner or even emergency care. In such cases it is prudent
 4 for the practitioner to refer the member for appropriate co-management (e.g., to their
 5 primary care physician) or if immediate emergency care is warranted, to contact 911 as
 6 appropriate. See the *Managing Medical Emergencies (CPG 159 – S)* clinical practice
 7 guideline for information.

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