Clinical Practice Guideline:	Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders
Date of Implementation:	April 20, 2017
Product:	Specialty
	Related Policies: CPG 87: Non-Motorized Flexion Distraction Technique CPG 119 Spinal Manipulative Therapy for Non- Musculoskeletal and Related Disorders CPG 120: Spinal Manipulative Therapy for Treatment of Children CPG 121: Passive Physiotherapy Modalities CPG 132: Spinal Manipulative Therapy for Treatment of Children with Non-Musculoskeletal and Related Disorders CPG 135: Physical Therapy Medical Policy/Guideline CPG 155: Occupational Therapy Medical Policy/Guideline CPG 175: Extra-Spinal Manipulation/Mobilization for the Treatment of Upper Extremity Musculoskeletal Conditions CPG 177: Extra-Spinal Manipulation / Mobilization for the Treatment of Lower Extremity Musculoskeletal Conditions
EVIDENCE REVIEW Low Back Pain Neck Pain Thoracic Spine Pain Chronic Headache	22 4 4 20 30 31
References	

1	GUIDELINES
2	I. American Specialty Health – Specialty (ASH) considers Spinal Manipulation (or
3	Grade V Mobilization) to be medically necessary when both of the following criteria
4	are met:
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6	• There is adequate documentation that the member has a symptomatic (acute,
7	subacute, or chronic; with or without radicular components) Musculoskeletal or
8	Related Disorder attributable to a mechanical, structural, or functional disorder
9	of the sacroiliac, lumbosacral; lumbar, thoracic and/or cervical spine or
10	headache disorders including tension-type headache and migraine headache;
11	and
12	• There is an absence of contraindications to manipulation/mobilization or
13	diagnostic red flags suggesting a possible organic disorder (e.g., tumor,
14	infection, fracture).
15	For the numbers of this policy. Musculoskaletal and Delated Disorders are defined as
16 17	For the purposes of this policy, Musculoskeletal and Related Disorders are defined as
17	conditions with signs and symptoms related to the nervous, muscular, and/or skeletal systems. Musculoskeletal or Related Disorders are conditions typically categorized as:
18 19	structural, degenerative, or inflammatory disorders; or biomechanical dysfunction of the
20	joints of the body and/or related components of the muscle or skeletal systems (muscles,
20 21	tendons, fascia, nerves, ligaments/capsules, discs, and synovial structures) and related
21	manifestations or conditions.
22	mannestations of conditions.
23	Such spinal disorders may be acute, sub-acute, or chronic and may or may not include
25	radicular components.
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27	Signs and symptoms of a musculoskeletal or related disorder may include:
28	• Pain/tenderness;
29	• Stiffness and/or limited motion;
30	• Tone or texture changes in the adjacent muscles and soft tissues including muscle
31	tightness or weakness;
32	• Asymmetry or malalignment between adjacent spinal segments;
33	• Headache disorders (including tension-type headache and migraine headache); and
34	 Numbness/tingling or other paresthesia, weakness, loss of deep tendon reflexes, or
35	other signs of nerve or nerve root compression or irritation.
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37	Note: The population of eligible members for spinal manipulation includes all ages, co-
38	morbid conditions, and other demographic variables as long as the documentation
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39 establishes a valid diagnosis and symptomatic status and satisfies the above criteria.

1	II. Spinal manipulation is considered not medically necessary when:	
2	• The above criteria are not met; or	
3	• The patient has become asymptomatic; or	
4	• There is no progress toward the resolution of symptoms within a reasonable and	
5	predictable period of time; or	
6 7	• Maximum therapeutic benefit has been achieved, and chiropractic supportive care is not indicated; or	
8 9	• The primary aim is to prevent future episodes.	
10	III. Spinal manipulation is considered not medical necessary for the treatment of conditions	
11	not directly related to the spine including, but not limited to:	
12	• Asthma	
13	Infantile colic	
14	Irritable bowel syndrome	
15	• Dysmenorrhea	
16		
17	See the Spinal Manipulative Therapy for Non-Musculoskeletal Conditions and Related	
18	Disorders (CPG $119 - S$) clinical practice guideline for more specific information.	
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20	ASH considers use of manual devices (i.e., those that are hand-held with the thrust of the	
21	force of the device being controlled manually) by chiropractors in performing manual	
22	manipulation of the spine or the extremities as a reasonable alternative to high velocity,	
23	low amplitude manipulation when the medical necessity criteria above is met. Use of these	
24	devices may also be considered a possible alternative when high velocity low amplitude	
25	manipulation may be contraindicated.	
26		
27	ASH does not support the use of any examination and/or diagnostic method associated with	
28	manual devices. Moreover, ASH does not support claims of benefit(s) associated with	
29	instrument assisted methods of assessment. CPT® coding does not change with the use of	

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these devices.

Page 3 of 56

CPT® Code	CPT® Code Description
98940	Chiropractic manipulative treatment (CMT); spinal 1-2 regions
98941	Chiropractic manipulative treatment (CMT); spinal 3-4 regions
98942	Chiropractic manipulative treatment (CMT); spinal 5 regions
98925	Osteopathic manipulative treatment (OMT); 1-2 body regions involved
98926	Osteopathic manipulative treatment (OMT); 3-4 body regions involved
98927	Osteopathic manipulative treatment (OMT); 5-6 body regions involved
98928	Osteopathic manipulative treatment (OMT); 7-8 body regions involved
98929	Osteopathic manipulative treatment (OMT); 9-10 body regions involved
97140	Manual therapy techniques (e.g., mobilization/ manipulation, manual lymphatic drainage, manual traction), 1 or more regions, each 15 minutes

1 **CPT® Codes and Descriptions**

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3 EVIDENCE REVIEW

4 Low Back Pain

The body of literature relevant to the subject of this clinical policy is quite extensive at this 5 point. There are more than 150 randomized clinical trials that investigate the effectiveness 6 of spinal manipulation for back pain and related disorders. This volume of studies has also 7 resulted in set of systematic reviews and meta-analyses on the topic. It is these reviews that 8 constitute the primary source of information for this clinical policy guideline. In addition, 9 recent individual clinical trials that have not been included in the systematic reviews will 10 be reviewed. 11 12 13 Rubinstein et al. performed a systematic review of the effectiveness of spinal manipulative

- therapy (SMT) for chronic low back pain first in 2011. The authors defined chronic low
 back pain as pain lasting longer than 12 weeks and SMT as any 'hands on' treatment,
 - including both spinal manipulation and mobilization. A total of 26 randomized controlled

trials were included in this review, 9 of which were considered as having a low risk of bias. 1 Studies were included if they were designed to examine the unique contribution of SMT 2 alone. Comparison therapies were grouped as inert interventions, sham SMT, all other 3 interventions, and SMT in addition to any intervention versus that intervention alone. 4 Primary outcomes included pain from a self-reported scale (Visual Analogue Scale [VAS] 5 or Numerical Rating Scale [NRS]), functional status reported on a back pain specific scale 6 (Roland-Morris Disability Questionnaire or Oswestry Disability Index), and global 7 improvement (number of patients reported to be recovered or nearly recovered). The 8 primary technique used was a high-velocity low-amplitude SMT thrust, followed by 9 Maitland mobilization, flexion-distraction mobilization, unspecified mobilization, and 10 unspecified technique. About 1/3 of the studies reported on adverse events, which were 11 limited to muscle soreness, stiffness, and/or other transient increase in pain. Professions 12 included in these studies were bonesetters, chiropractors, and manual/physical therapists. 13 Combinations of these professions were also included. There is high quality evidence that 14 SMT has a statistically significant effect on short-term pain and functional status, but the 15 effect size is small and clinically insignificant. Therefore, SMT is neither superior nor 16 inferior to other low back pain treatments. The authors discuss several possibilities for their 17 results, including how well investigators were able to successfully blind their participants 18 from knowing if they had the sham treatment. Another discussion item was that the patients 19 20 all had non-specific low back pain, which may be too broad of a category to consider for treatment comparisons. The authors suggest future studies of SMT examine cost-21 effectiveness. If SMT is as effective as other treatments and has demonstrated its safety as 22 a treatment it makes sense to utilize SMT more often if shown to be a cost-effective form 23 24 of treatment.

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To look at the effectiveness of spinal manipulation in a more pragmatic setting, in 2011 26 Walker et al. examined 12 randomized controlled studies that combined chiropractic, or 27 spinal manipulation (SM), with additional therapies. Objectives evaluated included pain, 28 disability, back-related function, overall improvement, and patient satisfaction. Studies that 29 were included had a defined region of low back pain and specified duration as acute (less 30 than 6 weeks), subacute (6 to 12 weeks), or chronic (12 weeks or more). Interventions 31 included combinations of therapies such as SM and massage, thermotherapies, 32 33 electrotherapies, mechanical devices, exercise programs, nutritional advice, orthotics, lifestyle modification, and patient education. The authors evaluated the evidence of the 34 studies with the GRADE approach and assessed the risk of bias based on those results. 35 Only 3 of the 12 studies were classified as having a low risk of bias. Using the VAS, 36 Oswestry Disability Index and the Roland Morris Disability Questionnaire as outcome 37 measures, none of the studies provided a clinically significant difference for combined 38 39 chiropractic interventions. Individuals with acute and subacute low back pain did experience pain relief after combined chiropractic, rather than spinal manipulation alone. 40 Although this was statistically significant, the effect sizes were small and not considered 41 clinically significant. The authors' suggestions for future research include careful planning 42

Page 5 of 56

and reporting of studies to reduce bias as well as examination of frequency or dosing effect 1 of treatment visits. In 2012, Goertz et al. performed a systematic review that included 38 2 articles examining the effectiveness of high-velocity, low-amplitude (HVLA) spinal 3 manipulation for the treatment of low back pain. The authors reviewed randomized 4 controlled trials that focused on patient-centered outcomes of pain and functional health 5 status. The most commonly used pain ratings were the VAS and NRS, while the most 6 commonly used functional health status tools were the Roland Morris Disability 7 Questionnaire (RMDQ) and the Oswestry Low Back Pain Disability Index (OSW). While 8 the authors agreed with previous studies that there is moderate evidence that spinal 9 manipulation is an effective treatment option for both acute and chronic low back pain, 10 11 they also share concerns that there is high variation in the quality of studies as well as high variation in reported outcomes. The authors concluded that the variation is most likely due 12 to a combination of heterogeneity of low back pain patients, variations in the spinal 13 manipulation itself, and inadequate reporting of trial methodology. Finally, to aid in the 14 ability to adequately compare spinal manipulation trials, the authors recommend adoption 15 of standards for classification of low back pain, reporting of patient outcome data, and 16 content of randomized controlled trials. 17

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A meta-analysis of efficacy, cost-effectiveness, and safety of complementary and 19 20 alternative medicine (CAM) therapies such as acupuncture, manipulation, mobilization, and massage for neck and low back pain in adults was conducted in 2012 by Furlan et al. 21 Studies were included if they reported efficacy and/or economic data of CAM therapies in 22 comparison with no treatment, placebo, or other active treatments in adults with low back, 23 neck, or thoracic pain. Pain intensity and disability were the primary patient outcomes of 24 interest for efficacy and for cost-effectiveness analysis, data was extracted related to costs 25 to the health care sector, production loss, costs in other sectors, patient and family costs, 26 and total costs. In total, 147 studies were included in this meta-analysis; of the studies that 27 examined low back pain 13 analyzed manipulation, 13 analyzed mobilization, 5 analyzed 28 manipulation and mobilization, and 7 analyzed economic impact. In participants with 29 acute/subacute and mixed duration nonspecific low back pain, manipulation was 30 significantly more effective than placebo or no treatment in reducing pain intensity 31 immediately after treatment. In participants with chronic nonspecific low back pain, 32 33 manipulation was significantly more effective than placebo in reducing pain intensity (VAS score) immediately after treatment. Manipulation was significantly better or no 34 different than pain medication in improving pain intensity but did not differ from pain 35 medication in reducing pain intensity at follow up after treatment. Participants with 36 acute/subacute and chronic nonspecific low back pain who received mobilization 37 experienced significantly improved pain intensity (VAS score) compared to subjects not 38 39 receiving any treatment. Results regarding participant-reported disability (RMDQ, OSW) were inconsistent, showing either a significant difference favoring mobilization or showing 40 no difference between mobilization and no treatment. Participants with acute/subacute 41 nonspecific low back pain receiving manipulation plus mobilization were not significantly 42

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders Revised – April 17, 2025 To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approval 04/01/2025 To QOC for review and approval 04/17/2025 OOC reviewed and approved 04/17/2025

better than those who received a double placebo (sham manipulation and placebo 1 analgesic). Manipulation plus mobilization was significantly better in reducing pain than 2 physiotherapy (e.g., exercise, massage, heat, electrotherapy, ultrasound) in participants 3 with mixed duration low back pain. However, there was no difference between 4 manipulation plus mobilization and usual care (analgesics, muscle relaxants, instruction in 5 proper back care, life-style recommendations, and exercise) in participants with mixed 6 duration of nonspecific low back pain. Unfortunately, due to the small number of studies 7 reporting on economic impact, inconsistencies in methods reported and differences in 8 health care calculations by country, the authors were unable to draw conclusions regarding 9 cost effectiveness. The authors also noted the evidence is inconclusive for treatment of low 10 11 back pain as the majority of the studies sited were of low quality and recommend a concerted effort to improve study quality in future reporting of CAM studies for 12 musculoskeletal conditions. 13

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Osteopathic approaches to the effectiveness of manipulation for low back pain were 15 investigated by Orrock and Myers in 2013. Articles were searched for spinal manipulative 16 therapy as well as osteopathic manipulative therapy but were only included in the review 17 if they included a form of osteopathy. The authors chose to focus their review on 18 osteopathic manual interventions performed by osteopathic clinicians in chronic, non-19 20 specific lower back pain in adults. Articles were also evaluated for risk of bias based on the Systematic Review Guidelines of the Cochrane Back Review Group. The authors 21 searched many data bases but only found two (2) articles that met the inclusion criteria and 22 had a low risk of bias. One of the studies concluded the osteopathic intervention was similar 23 in effect to a sham intervention while the other study suggested osteopathic intervention 24 was similar to that of exercise and physiotherapy. The authors note that although both 25 studies had a low risk of bias neither the participants nor the clinicians in the studies were 26 blinded. The authors felt this could influence the study outcomes. Therefore, the authors 27 conclude that more research is needed, ideally with appropriate controls and use of 28 interventions that reflect actual practice, before determining if osteopathic manipulation is 29 effective in treatment of chronic low back pain in adults. 30

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In 2014, Merepeza examined the effectiveness of spinal manipulation versus prescribed 32 33 exercises for chronic low back pain. Studies included in the review were those with participants with low back pain of over 12 weeks duration, spinal manipulation performed 34 by a health care provider, exercises prescribed by a health care provider, and a measurable 35 outcome for reducing pain, disability, or improving function. Studies were excluded if 36 37 participants were diagnosed with spinal stenosis, spondylolisthesis (2nd degree or more), lumbar scoliosis (>20' or more), previous vertebral fractures, systemic causes of chronic 38 39 low back pain (rheumatoid arthritis), or psychiatric or cognitive co-morbidities. Three studies were found that met the author's inclusion criteria and were evaluated for risk of 40 bias with the PEDro scale. While all 3 studies had a fairly low risk of bias, none of the 41 studies blinded the subjects and the administrators of the treatment therapy. Another bias 42

Page 7 of 56

present in all three (3) studies is that the outcomes were self-reported in a subjective 1 manner. One study showed spinal manipulation was more effective than individual 2 physiotherapy for pain reduction and improved function. A different study found that spinal 3 manipulation therapy and motor control exercise were better at reducing pain and disability 4 than general exercise in the short term but not in the long term. Finally, another study found 5 that spinal stabilization exercises were more effective than manual therapy in reducing pain 6 intensity and disability and dysfunction. Merepeza (2014) concludes that first, chronic low 7 back pain may itself pose a challenge to study because of the heterogeneity of the condition. 8 Second, the author acknowledges that there are many components to exercise and manual 9 therapy as treatments and more evidence is needed to determine what is considered an 10 11 effective treatment.

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Hidalgo et al. (2014) performed a systematic review focused specifically on different 13 manual therapies for different stages of low back pain. Randomized controlled trials were 14 included only if they had a low risk of bias, appropriate randomization methods, 15 appropriate blinding, and low back pain was treated with manual therapy. The authors used 16 a combination of duration and location of symptoms to specify the population included; 17 participants were classified as having duration of acute-subacute (0-12 weeks) or chronic 18 (>12 weeks). Participants were also categorized as having low back pain defined by the 19 20 Quebec-Taskforce regarding presence and location of leg pain, with or without neurological deficit. Participants with nerve root pain with neurologic deficit were not 21 included. Manual therapy techniques were categorized into 3 types; high-velocity, low-22 amplitude thrust with cavitation, mobilization and soft tissue techniques, or a combination. 23 Control groups received no treatment, placebo, usual medical care, or exercise. The authors 24 found 11 studies that met their inclusion criteria that had not previously been reported; 5 25 were of high level of evidence and 6 were of moderate quality of evidence. In contrast with 26 what other systematic reviews have reported, the authors concluded that there is moderate 27 to strong evidence for the benefits of high-velocity, low-amplitude manual therapy in 28 comparison to sham manual therapy for pain relief, functional improvement, and overall 29 health for short term follow up for all durations of low back pain. The authors also 30 concluded that there was moderate evidence to support high-velocity, low-amplitude 31 manual therapy and combination manual therapy with usual medical care in comparison to 32 33 usual medical care alone for pain, function, and overall quality of life. Additionally, for chronic low back pain, the authors found moderate evidence in support of combination 34 manual therapy with exercises or usual medical care compared to usual medical care alone 35 for pain and function. The authors recommend future research focus on pragmatic, high 36 quality randomized controlled trials, specific types of manual therapy classification, and 37 classification of participants. 38

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40 A 2014 systematic review of CAM studies for low back pain was performed by 41 Kizhakkeveettil et al. The authors were specifically interested in examining the effects of 42 an integrative approach to treating low back pain instead of isolating a single therapy.

Page 8 of 56

Studies were included in the review if they had at least 1 outcome measure for pain or 1 disability as well as at least 1 treatment group receiving integrated therapy that included at 2 least 1 CAM therapy. The authors found 21 articles that met their search criteria (13 of 3 which included spinal manipulative therapy) and used the Cochrane Back Review Group 4 scale to determine risk of bias. Integrated CAM therapy with active care appeared to be 5 effective for treatment, while adding passive care to CAM therapy was generally 6 ineffective. The authors found this surprising as it is common to have the combination of 7 CAM therapy with passive care (such as heat or ice) as a standard treatment for low back 8 pain. Even though the authors support integrated therapies, they acknowledge that it may 9 be difficult in a real-world setting to coordinate care between practitioners. The authors 10 11 also acknowledge that some interventions for low back pain appear to be ineffective in the short term but may help prevent chronicity and disability. Finally, the authors state the need 12 for more high-quality research that examines integration of spinal manipulative therapy 13 with exercise, acupuncture, and conventional care rather than single therapies of any type 14 along with reporting appropriate cost effectiveness data. 15

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In 2014, Tsertsvadze et al. evaluated the cost effectiveness of manual therapies relative to 17 other alternative therapies for management of musculoskeletal conditions. Studies 18 considered for review were classified by which area of the body was being treated (spinal, 19 20 upper extremity and lower extremity). Twenty-five publications from 11 different trials were included for review that reported specific economic factors for analysis. The risk of 21 bias was rated as low for 7 of the 11 trials and high for 4 of the 11 trials. Of the trials 22 included, 4 reported information regarding low back pain. The first trial found individual 23 physiotherapy more effective and 'marginally more costly' than spinal stabilization 24 therapy. The second trial found a combination of manual therapy, stabilization exercise 25 and physician consultation more effective than physician consultation alone at 24-month 26 follow up. The third study evaluated manipulation alone, exercise alone, and manipulation 27 and exercise to general practitioner care. The addition of manipulation had better 28 participant outcomes and lower overall cost. The last study compared manual 29 physiotherapy with a brief pain management program for participants with acute low back 30 pain. Although the manual physiotherapy group had more improvement in disability and 31 was more cost effective, the results were not statistically significant between the groups. 32

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In 2014, Menke performed a comparative effectiveness meta-analysis of manual therapies, 34 including spinal manipulative therapy (SMT), for the treatment of low back pain. Menke 35 searched the literature and found 56 studies from 1974-2010 for a total of 257 study arms. 36 37 The study arms were then classified into treatment types such as SMT, exercise, physiotherapy modalities, usual medical care, and control groups. The treatment types were 38 39 then divided into acute and chronic low back pain for short- and long-term effects. Treatments for acute pain levels were no better than the course of natural history while 40 treatment for chronic pain showed a weak response to SMT. Additionally, study quality 41 measurements were taken to measure levels of evidential support. The author found that 42

Page 9 of 56

overall SMT study quality improved 1.2% each year from 1974 and proposed that the reason SMT has had success was not because of the treatment, but because of the psychosocial support received during treatment and encouraged future research to examine

- 4 this component of SMT.
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Schneider et al. (2015) conducted a study comparing the effectiveness of manual-thrust 6 manipulation (MTM), mechanical-assisted manipulation (MAM), and usual medical care 7 (UMC) in adults with low back pain of less than 3 months duration with a minimum self-8 reported pain of 3 on a 0-10 scale and a minimum disability of 20 on a 0-100 scale. 9 Participants randomized to the MTM group received high-velocity, low-amplitude thrust 10 11 manipulations in the side posture position. Participants randomized to the MAM group received activator methods chiropractic using the activator IV adjusting instrument in the 12 prone position following palpation and Activator method of leg length analysis. 13 Participants in the MTM and MAM groups attended 2 office visits per week for 4 weeks 14 and participated in follow-up data collection. Participants randomized to the UMC group 15 were seen by a board-certified physical medicine and rehabilitation physician. They were 16 told most new episodes of low back pain are self-limiting, prescribed over the counter 17 analgesics and nonsteroidal anti-inflammatory drugs, given advice to stay active and avoid 18 bed rest, as per current clinical guidelines for primary care management of non-specific 19 20 low back pain. The UMC group patients had 3 total office visits; an initial visit and 2 follow up visits occurring at week 2 and week 4. After the week 4 assessment, participants were 21 free to try other forms of treatment if they felt they needed it. All participants in all 3 22 treatment groups were provided a copy of the same educational handout with information 23 regarding proper posture and movements. The primary outcome assessment was the OSW. 24 Scores range from 0-100, with higher numbers representing higher levels of disability. The 25 secondary outcome was self-reported pain on a scale of 0 ('no pain') to 10 ('unbearable 26 pain'). At 4 weeks, the MTM group showed significantly reduced OSW scores compared 27 to the MAM and UMC groups. Comparing the MAM group to the UMC group showed a 28 non-significant difference. The pain scores showed similar results; MTM had reduced pain 29 scores compared to the MAM and UMC groups, however comparing the MAM to the UMC 30 group showed no significant difference. The authors conclude there was a statistically 31 significant decrease in disability and pain for the MTM group for the short-term 32 33 measurement. The benefit of MTM was not statistically significant at the 3 or 6 month follow ups. Manipulation should be offered as an effective treatment for short term relief 34 of low back pain, especially for patients who prefer to make an informed treatment decision 35 in accordance with their individual values and preferences; this leads to enhanced patient 36 satisfaction. Another important factor the authors discuss is the presence of a statistically 37 significant difference between the MTM and MAM groups, indicating that not all forms of 38 39 manipulation may have the same effect on all low back pain patients.

Page 10 of 56

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders **Revised – April 17, 2025** To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approval 04/01/2025 To QOC for review and approval 04/17/2025 OOC reviewed and approved 04/17/2025 In 2016, Chou et al. published (under the auspices of the Agency for Healthcare Research and Quality [AHRQ] and currently archived) a systematic review—*Noninvasive Treatment for Low Back Pain.* This review included both pharmacological and non-pharmacological treatments. The latter included spinal manipulation, acupuncture, exercise, low-level laser, heat, yoga, relaxation techniques, cognitive behavioral therapy (CBT), and electrical stimulation of various types, ultrasound, lumbar supports, and traction. Findings for SMT included:

- For acute low back pain, two trials (one included in a systematic review) found spinal manipulation associated with better effects on function versus sham manipulation (statistically significant in one trial); in one trial effects on pain favored manipulation but were small and not statistically significant (strength of evidence (SOE): low for function, insufficient for pain).
- For chronic low back pain, a systematic review found spinal manipulation associated with small, statistically nonsignificant effects versus sham manipulation on pain at 1 month; one trial reported similar results for function; one trial not included in the systematic review reported generally consistent results (SOE: low for pain, insufficient for function).
- For acute low back pain, a systematic review found no differences between spinal manipulation versus and inert treatment in pain relief at 1 week, though one trial found SMT associated with better longer-term pain relief; there were no differences in function at 1 week or at 3 months (SOE: low for pain and function).
- For chronic low back pain, one high-quality trial found spinal manipulation associated with greater improvement in the "main complaint" versus an inert treatment; results from three low risk of bias trials and three additional trials not included in the systematic review were somewhat inconsistent, though some trials reported effects that favored manipulation (SOE: low).
- For acute low back pain, a systematic review found no difference between spinal 27 • manipulation versus other active interventions in pain relief at 1 week, 1 month, 3 28 to 6 months, or 1 year. Findings were similar for function, with no differences 29 30 observed at any time point. A subsequent trial of patients with acute or subacute low back pain found spinal manipulation associated with moderate effects versus 31 usual care on pain and small effects on function at short-term follow-up, but effects 32 were smaller and no longer statistically significant at 3 and 6 months (SOE: 33 moderate for pain and function). 34
- For chronic low back pain, a systematic review found spinal manipulation 35 • associated with better short-term pain relief versus other active interventions at 1 36 month and 6 months, though the magnitude of effects was below the small/slight 37 threshold. There was no difference at 12 months. Manipulation was also associated 38 with greater function improvement in function versus other active interventions at 39 1 month; effects were smaller and no longer statistically significant at 6 and 12 40 months. Three trials not included in the systematic reviews reported results 41 consistent with these findings (SOE: moderate for pain and function). 42

Page 11 of 56

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders Revised – April 17, 2025 To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approved 04/01/2025 To QOC for review and approvel 04/17/2025 QOC reviewed and approved 04/17/2025

- For acute low back pain, four trials in a systematic review found spinal manipulation plus either exercise or advice associated with greater improvement in function at 1 week versus exercise or advice alone, but there were no differences at 1 month or 3 months (SOE: low).
 - For chronic low back pain, a systematic review found spinal manipulation plus another active treatment associated with greater pain relief at 1 month, 3 months, and 12 months versus the other treatment alone, combination therapy was also associated with better function at 1 month, 3 months and 12 months. One trial not included in the systematic review reported results consistent with these findings (SOE: low).
 - For radicular low back pain, one good-quality trial found spinal manipulation plus home exercise and advice associated with greater improvement in leg and back pain at 12 weeks versus home exercise and advice alone, but effects were smaller and no longer statistically significant at 52 weeks (SOE: low).
- Harms were not reported well in most trials of spinal manipulation. No serious adverse events were reported, and most adverse events were related to muscle soreness or transient increases in pain (SOE: low).
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Chou et al. (2017) also published a systematic review on nonpharmacologic therapies for 19 20 low back pain for an American College of Physicians Clinical Practice Guideline. Results were consistent with the conclusion stated previously from the AHRQ publication. Similar 21 findings were noted within the Veteran's Administration/Department of Defense 22 23 guidelines for treatment of low back pain. They suggest offering spinal mobilization/manipulation as part of a multimodal program for patients with acute or 24 chronic low back pain (VA/DoD, 2017). Clinical Guidelines Committee of the American 25 26 College of Physicians published a Clinical Practice Guideline from the American College of Physicians on noninvasive treatments for acute, subacute, and chronic low back pain. 27 This guideline states that for patients with acute or chronic low back pain, SMT is 28 recommended as one of several nonpharmacologic initial treatment options (Qaseem et al., 29 2017). Paige et al. (2017) systematically reviewed studies of the effectiveness and harms 30 of SMT for acute (≤6 weeks) low back pain. Of 26 eligible RCTs identified, 15 RCTs 31 (1,711 patients) provided moderate-quality evidence that SMT has a statistically significant 32 association with improvements in pain. Twelve RCTs (1,381 patients) produced moderate-33 quality evidence that SMT has a statistically significant association with improvements in 34 function. Heterogeneity was not explained by type of clinician performing SMT, type of 35 manipulation, study quality, or whether SMT was given alone or as part of a package of 36 therapies. No RCT reported any serious adverse event. Minor transient adverse events such 37 as increased pain, muscle stiffness, and headache were reported 50% to 67% of the time in 38 large case series of patients treated with SMT. Authors concluded that among patients with 39 acute low back pain, spinal manipulative therapy was associated with modest 40 improvements in pain and function at up to 6 weeks, with transient minor musculoskeletal 41 42 harms. However, heterogeneity in study results was large.

Page 12 of 56

Skelly et al. (2018) reports in a review on chronic pain non-invasive non-pharmacological 1 treatments that at short and intermediate terms, spinal manipulation, was associated with 2 slight improvements in function compared with usual care or inactive controls. Skelly et 3 al. (2020) updated the evidence from their 2018 report assessing persistent improvement 4 in outcomes following completion of therapy for noninvasive nonpharmacological 5 treatment for selected chronic pain conditions. They included 233 RCTs (31 new to this 6 update). Many were small (N<70), and evidence beyond 12 months after treatment 7 completion was sparse. The most common comparison was with usual care. Evidence on 8 harms was limited, with no evidence suggesting increased risk for serious treatment-related 9 harms for any intervention. Effect sizes were generally small for function and pain. For 10 11 chronic low back pain, function improved over short and/or intermediate term for spinal manipulation, (SOE: low). At intermediate term, spinal manipulation (SOE: moderate) was 12 associated with improved pain. Coulter et al. (2018) aimed to determine the efficacy, 13 effectiveness, and safety of various mobilization and manipulation therapies for treatment 14 of chronic low back pain in a systematic literature review and meta-analysis. Fifty-one 15 trials were included in the systematic review. Nine trials (1,176 patients) provided 16 sufficient data and were judged similar enough to be pooled for meta-analysis. Subgroup 17 analyses showed that manipulation significantly reduced pain and disability, compared 18 with other active comparators including exercise and physical therapy. Mobilization 19 20 interventions, compared with other active comparators including exercise regimens, significantly reduced pain, but not disability. Studies comparing manipulation or 21 mobilization with sham or no treatment were too few or too heterogeneous to allow for 22 pooling as were studies examining relationships between dose and outcomes. Few studies 23 assessed health-related quality of life. Twenty-six of 51 trials were multimodal studies and 24 narratively described. Authors concluded that there is moderate-quality evidence that 25 manipulation and mobilization are likely to reduce pain and improve function for patients 26 with chronic low back pain; manipulation appears to produce a larger effect than 27 mobilization. Both therapies appear safe. Multimodal programs may be a promising option 28 29

Evans et al. (2018) conducted a multicenter randomized trial comparing 12 weeks of spinal 30 manipulative therapy (SMT) combined with exercise therapy (ET) to ET alone. 31 Participants were 185 adolescents aged 12 to 18 years with chronic low back pain (LBP). 32 33 The primary outcome was LBP severity at 12, 26, and 52 weeks. Secondary outcomes included disability, quality of life, medication use, patient- and caregiver-rated 34 improvement, and satisfaction. Outcomes were analyzed using longitudinal linear mixed 35 effect models. An omnibus test assessing differences in individual outcomes over the entire 36 year controlled for multiplicity. Of the 185 enrolled patients, 179 (97%) provided data at 37 12 weeks and 174 (94%) at 26 and 52 weeks. Adding SMT to ET resulted in a larger 38 39 reduction in LBP severity over the course of 1 year (P = 0.007). The group difference in LBP severity (0-10 scale) was small at the end of treatment (mean difference = 0.5; P = 40 (0.08) but was larger at weeks 26 (mean difference = 1.1; P = 0.001) and 52 (mean difference 41 = 0.8; P = 0.009). At 26 weeks, SMT with ET performed better than ET alone for disability 42

Page 13 of 56

1 (P = 0.04) and improvement (P = 0.02). The SMT with ET group reported significantly 2 greater satisfaction with care at all time points (P \leq 0.02). There were no serious treatment-3 related adverse events. For adolescents with chronic LBP, spinal manipulation combined 4 with exercise was more effective than exercise alone over a 1-year period, with the largest 5 differences occurring at 6 months. These findings warrant replication and evaluation of 6 cost effectiveness.

7

Rubenstein et al. (2019) assessed the benefits and harms of spinal manipulative therapy 8 (SMT) for the treatment of chronic low back pain. Forty-seven randomized controlled trials 9 including a total of 9,211 participants were identified, who were on average middle aged 10 (35-60 years). Most trials compared SMT with recommended therapies. Moderate quality 11 evidence suggested that SMT has similar effects to other recommended therapies for short 12 term pain relief and a small, clinically better improvement in function. According to 13 authors, high quality evidence suggested that compared with non-recommended therapies 14 SMT results in small, not clinically better effects for short term pain relief and small to 15 moderate clinically better improvement in function. In general, these results were similar 16 for the intermediate and long-term outcomes as were the effects of SMT as an adjuvant 17 therapy. Most of the observed adverse events reported were musculoskeletal related, 18 transient in nature, and of mild to moderate severity. Authors concluded that SMT produces 19 20 similar effects to recommended therapies for chronic low back pain, whereas SMT seems to be better than non-recommended interventions for improvement in function in the short 21 term. Clinicians should inform their patients of the potential risks of adverse events 22 associated with SMT. 23

24

Thomas et al. (2020) evaluated the comparative effectiveness of spinal manipulation and 25 spinal mobilization at reducing pain and disability compared with a placebo control group 26 (sham cold laser) in a cohort of young adults with chronic LBP. Participants received 6 27 treatment sessions of (1) spinal manipulation, (2) spinal mobilization, or (3) sham cold 28 laser therapy (placebo) during a 3-week period. Main outcomes and measures: Coprimary 29 outcome measures were the change from baseline in Numerical Pain Rating Scale (NPRS) 30 score over the last 7 days and the change in disability assessed with the Roland-Morris 31 Disability Questionnaire (scores range from 0 to 24, with higher scores indicating greater 32 33 disability) 48 to 72 hours after completion of the 6 treatments. A total of 162 participants (mean [SD] age, 25.0 [6.2] years; 92 women [57%]) with chronic LBP (mean [SD] NPRS 34 score, 4.3 [2.6] on a 1-10 scale, with higher scores indicating greater pain) were 35 randomized; 54 participants to the spinal manipulation group, 54 to the spinal mobilization 36 group, and 54 to the placebo group. There were no significant group differences for sex, 37 age, body mass index, duration of LBP symptoms, depression, fear avoidance, current pain, 38 39 average pain over the last 7 days, and self-reported disability. At the primary end point, there was no significant difference in pain score change between spinal manipulation and 40 spinal mobilization, spinal manipulation and placebo, or spinal mobilization and placebo. 41 There was no significant difference in self-reported disability score change between spinal 42

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders **Revised – April 17, 2025** To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approval 04/01/2025 To QOC for review and approval 04/17/2025 OOC reviewed and approved 04/17/2025

Page 14 of 56

manipulation and spinal mobilization, spinal manipulation and placebo or spinal
mobilization and placebo. Authors concluded that in this trial, neither spinal manipulation
nor spinal mobilization appeared to be effective treatments for mild to moderate chronic
LBP. According to Flynn (2020) in a review of treatments for chronic musculoskeletal
pain, spinal manipulation leads to a small benefit for chronic neck and low back pain.

6

Hawk et al. (2020) developed an evidence-based clinical practice guideline through a 7 broad-based consensus process on best practices for chiropractic management of patients 8 with chronic musculoskeletal (MSK) pain. Delphi process was conducted January-9 February 2020. The 62-member Delphi panel reached consensus on chiropractic 10 11 management of five common chronic MSK pain conditions: low-back pain, neck pain, tension headache, osteoarthritis (knee and hip), and fibromyalgia. Recommendations were 12 made nonpharmacological treatments. including for acupuncture, spinal 13 manipulation/mobilization, and other manual therapy; modalities such as low-level laser 14 and interferential current; exercise, including yoga; mind-body interventions, including 15 mindfulness meditation and cognitive behavior therapy; and lifestyle modifications such 16 as diet and tobacco cessation. Authors concluded that clinicians should consider multiple 17 approaches. Both active and passive, and both physical and mind-body interventions 18 should be considered in the management plan. Spinal manipulation/mobilization was 19 20 included in this recommendation for low back pain.

21

Chou et al. (2020) evaluated the effectiveness and comparative effectiveness of opioid, 22 nonopioid pharmacologic, and nonpharmacologic therapy in patients with specific types of 23 acute pain, including effects on pain, function, quality of life, adverse events, and long-24 term use of opioids. One hundred eighty-three RCTs on the comparative effectiveness of 25 therapies for acute pain were included. Findings noted that spinal manipulation might be 26 effective for acute back pain with radiculopathy. Most studies had methodological 27 limitations. Effect sizes were primarily small to moderate for pain, the most commonly 28 evaluated outcome. 29

30

Thornton et al. (2021) summarized the evidence for non-pharmacological management of 31 LBP in athletes, a common problem in sport that can negatively impact performance and 32 33 contribute to early retirement. Among 1,629 references, 14 RCTs involving 541 athletes were included. Treatments included exercise, biomechanical modifications, and manual 34 therapy. Exercise was the most frequently investigated treatment. There was a reduction in 35 pain and disability reported after all treatments. Authors concluded that while several 36 treatments for LBP in athletes improved pain and function, it was unclear what the most 37 effective treatments were, and for whom. Exercise approaches generally reduced pain and 38 39 improved function in athletes with LBP. No conclusions regarding the value of manual therapy (massage, spinal manipulation) or biomechanical modifications alone could be 40 drawn because of insufficient evidence. High-quality RCTs are urgently needed to 41 determine the effect of commonly used interventions in treating LBP in athletes. 42

Compared to traditional aggregate analyses individual participant data (IPD) meta-analyses 1 allows for a more precise estimate of the treatment effect. Given this, de Zoete et al. (2021) 2 assessed the effect of SMT on pain and function for chronic LBP in a IPD meta-analysis. 3 Of the 42 RCTs fulfilling the inclusion criteria, they obtained IPD from 21 (n=4,223). Most 4 trials (s=12, n=2,249) compared SMT to recommended interventions. There is moderate 5 quality evidence that SMT vs recommended interventions resulted in similar outcomes on 6 pain and functional status at one month. Effects at other follow-up measurements were 7 similar. Results for other comparisons (SMT vs non-recommended interventions; SMT as 8 adjuvant therapy; mobilization vs manipulation) showed similar findings. Authors 9 concluded that sufficient evidence suggest that SMT provides similar outcomes to 10 11 recommended interventions, for pain relief and improvement of functional status. SMT would appear to be a good option for the treatment of chronic LBP. Study design: 12 Individual participant data (IPD) meta-analysis. In another study, de Zoete et al. (2021) 13 aimed to identify which participant characteristics moderate the effect of spinal 14 manipulative therapy (SMT) on pain and functioning in chronic LBP. IPD were requested 15 from RCTs examining the effect of SMT in adults with chronic LBP for pain and function 16 compared to various other therapies (stratified by comparison). Potential patient 17 moderators (n = 23) were a priori based on their clinical relevance. They received IPD from 18 21 of 46 RCTs (n = 4,223). The majority (12 RCTs, n = 2,249) compared SMT to 19 20 recommended interventions. The duration of LBP, baseline pain (confirmatory), smoking, and previous exposure to SMT (exploratory) had a small moderating effect across 21 outcomes and follow-up points; these estimates did not represent minimally relevant 22 differences in effects. No other moderators demonstrated a consistent pattern across time 23 and outcomes. Few moderator analyses were conducted for the other comparisons because 24 of too few data. Authors state they did not identify any moderators that enable clinicians 25 to identify which patients are likely to benefit more from SMT compared to other 26 27 treatments.

28

Jenks et al. (2022) assessed the effects of SMT on pain and function in older adults with 29 chronic LBP in an individual participant data (IPD) meta-analysis. RCTs which examined 30 the effects of SMT in adults with chronic LBP compared to interventions recommended in 31 international LBP guidelines were included. Pain and functional status were examined at 32 33 4, 13, 26, and 52 weeks. 10 studies were retrieved, including 786 individuals, of which 261 were between 65 and 91 years of age. There is moderate-quality evidence that SMT results 34 in similar outcomes at 4 weeks. Second stage and sensitivity analysis confirmed these 35 findings. Authors concluded that SMT provides similar outcomes to recommended 36 interventions for pain and functional status in the older adult with chronic LBP. SMT 37 should be considered a treatment for this patient population. Trager et al. (2022) examined 38 39 the relationship between chiropractic spinal manipulative therapy (CSMT) and lumbar discectomy are both used for lumbar disc herniation (LDH) and lumbosacral radiculopathy 40 (LSR). Adults ages 18-49 with newly diagnosed LDH/LSR (first date of diagnosis) were 41 included. Exclusions were prior lumbar surgery, absolute indications for surgery, trauma, 42

Page 16 of 56

spondylolisthesis, and scoliosis. Propensity score matching controlled for variables 1 associated with the likelihood of discectomy (e.g., demographics, medications). Patients 2 were divided into cohorts according to receipt of CSMT. After matching, there were 5785 3 patients per cohort (mean age 36.9 ± 8.2). The odds ratio (95% CI) for discectomy were 4 significantly reduced in the CSMT cohort compared with the cohort receiving other care 5 over 1-year and 2-year follow-up. Authors findings suggest receiving CSMT compared 6 with other care for newly diagnosed LDH/LSR is associated with significantly reduced 7 odds of discectomy over 2-year follow-up. Given socioeconomic variables were 8 unavailable, and an observational design precludes inferring causality, the efficacy of 9 CSMT for LDH/LSR should be examined via randomized controlled trial to eliminate 10 11 residual confounding.

12

Trager et al. (2022) examined the relationship between chiropractic spinal manipulative 13 therapy (CSMT) and prescription benzodiazepines for radicular low back pain (rLBP). 14 Adults aged 18-49 with an index diagnosis of rLBP were included. Serious etiologies of 15 low back pain, structural deformities, alternative neurological lesions, and absolute 16 benzodiazepine contraindications were excluded. Patients were assigned to cohorts 17 according to CSMT receipt or absence. Propensity score matching was used to control for 18 covariates that could influence the likelihood of benzodiazepine utilization. After 19 20 matching, there were 9,206 patients (mean (SD) age, 37.6 (8.3) years, 54% male) per cohort. Odds of receiving a benzodiazepine prescription were significantly lower in the 21 CSMT cohort over all follow-up windows pre-matching and post-matching. Authors 22 suggest that receiving CSMT for newly diagnosed rLBP is associated with reduced odds 23 of receiving a benzodiazepine prescription during follow-up. These results provide real-24 world evidence of practice guideline-concordance among patients entering this care 25 pathway. 26

27

Zaina et al. (2023) sought to identify evidence-based rehabilitation interventions for 28 persons with non-specific low back pain (LBP) with and without radiculopathy and to 29 develop recommendations from high-quality clinical practice guidelines (CPGs) to inform 30 the World Health Organization's Package of Interventions for Rehabilitation. Authors 31 identified 4 high-quality CPGs. Recommended interventions included (1) education about 32 33 recovery expectations, self-management strategies, and maintenance of usual activities; (2) multimodal approaches incorporating education, exercise, and spinal manipulation; (3) 34 nonsteroidal anti-inflammatory drugs combined with education in the acute stage; and (4) 35 intensive interdisciplinary rehabilitation that includes exercise and cognitive/behavioral 36 interventions for persistent pain. They did not identify high-quality CPGs for people 37 younger than 16 years of age. Authors concluded that for people with LBP with and without 38 39 radiculopathy, recommendations emphasize the potential benefits of education, exercise, manual therapy, and cognitive/behavioral interventions. 40

Page 17 of 56

Sørensen et al. (2023) examined whether targeting spinal manipulative therapy (SMT) by 1 applying the intervention to a specific vertebral level produces superior clinical outcomes 2 than a nontargeted approach in patients with nonspecific low back pain. Ten randomized 3 controlled trials (n = 931 patients) were included. There was moderate-certainty evidence 4 of no difference between targeted SMT and a nontargeted approach for pain intensity at 5 post-intervention and at follow-up. For patient-reported disability, there was moderate-6 certainty evidence of no difference at post-intervention and at follow-up. Adverse events 7 were reported in 4 trials and were minor and evenly distributed between groups. Authors 8 concluded that targeting a specific vertebral level when administering SMT for patients 9 with nonspecific low back pain did not result in improved outcomes on pain intensity and 10 11 patient-reported disability compared to a nontargeted approach.

12

Feise et al. (2023) compared the benefits and harms of treatments for the management of 13 chronic low back pain without radiculopathy. Systematic review and meta-analysis of 14 randomized controlled trials were evaluated. Adults with chronic nonspecific low back 15 pain, excluding radicular pain, in any clinical setting were included. Outcome measures 16 included comparison of pain at immediate-term (<2 weeks) and short-term (>2 weeks to 17 ≤ 12 weeks) and serious adverse events. Three studies provided data on the benefits of 18 interventions, and 30 provided data on harms. Studies included interventions of 19 20 acupuncture (n=8); manipulation (n=2); pharmacological therapies (n=9), including NSAIDs and opioid analgesics; surgery (n=8); and epidural corticosteroid injections (n=3). 21 Acupuncture (moderate quality of evidence, benefit rating of 3) and manipulation 22 (moderate quality of evidence, benefit rating of 5) were effective in reducing pain intensity 23 compared to sham. The benefit of the other interventions was scored as uncertain due to 24 not being effective, statistical heterogeneity preventing pooling of effect sizes, or the 25 absence of relevant trials. The harms level warnings were at the lowest (e.g., indicating 26 rarer risk of events) for acupuncture, spinal manipulation, NSAIDs, combination ingredient 27 opioids, and steroid injections, while they were higher for single ingredient opioid 28 analgesics (level 4) and surgery (level 6). Authors concluded that there is uncertainty about 29 the benefits and harms of all the interventions reviewed due to the lack of trials conducted 30 in patients with chronic nonspecific low back pain without radiculopathy. From the limited 31 trials conducted, nonpharmacological interventions of acupuncture and spinal 32 33 manipulation provide safer benefits than pharmacological or invasive interventions. However, more research is needed. There were high harms ratings for opioids and surgery. 34 35

Trager et al. (2024) reviewed chiropractic research trends since 1972 and recent clinical practice guideline (CPG) recommendations regarding SMT. Authors searched for articles associated with chiropractic (spanning 1972–2024), analyzing publication trends and keywords, and also searched CPGs addressing SMT use (spanning 2013–2024). They identified 6286 articles on chiropractic. The rate of publication trended upward. Keywords initially related to historical evolution, scope of practice, medicolegal, and regulatory aspects evolved to include randomized controlled trials and systematic reviews. They identified 33 CPGs, providing a total of 59 SMT-related recommendations. The recommendations primarily targeted low back pain (n = 21) and neck pain (n = 14); of these, 90% favored SMT for low back pain while 100% favored SMT for neck pain. Recent CPG recommendations favored SMT for tension-type and cervicogenic headaches. There has been substantial growth in the number and quality of chiropractic research articles over the past 50 years, resulting in multiple CPG recommendations favoring SMT. These findings reinforce the utility of SMT for spine-related disorders.

8

Farley et al. (2024) evaluated spinal manipulative therapy (SMT), dry needling (DN), and 9 exercise for LBP. The study was a 3-armed parallel-group design randomized clinical trial. 10 They enrolled and randomized 96 participants with LBP into a multimodal strategy of 11 treatment consisting of a combination of DN and SMT, DN only, and SMT only, followed 12 by an at-home exercise program. All participants received 4 treatment sessions in the first 13 2 weeks followed by a 2-week home exercise program. Outcomes included clinical 14 (Oswestry Disability Index, numeric pain intensity rating) and mechanistic (lumbar 15 multifidus, erector spinae, and gluteus medius muscle activation) measures at baseline, 2, 16 and 4 weeks. Participants in the DN and SMT groups showed larger effects and statistically 17 significant improvement in pain and disability scores, and muscle percent thickness change 18 at 2 weeks and 4 weeks of treatment when compared to the other groups. 19

20

Gevers-Montoro et al. (2024) aimed to investigate the efficacy of SMT to improve CPLBP 21 and its underlying mechanisms in a randomized placebo-controlled dual-blind mixed 22 experimental trial. Ninety-eight individuals with CPLBP and 49 controls were recruited. 23 Individuals with CPLBP received SMT (n = 49) or a control intervention (n = 49), 12 times 24 over 4 weeks. The primary outcomes were CPLBP intensity (0-100 on a numerical rating 25 scale) and disability (Oswestry Disability Index). Secondary outcomes included pressure 26 pain thresholds in 4 body regions, pain catastrophizing, Central Sensitization Inventory, 27 depressive symptoms, and anxiety scores. Individuals with CPLBP showed widespread 28 mechanical hyperalgesia and higher scores for all questionnaires. SMT reduced pain 29 intensity compared with the control intervention, but not disability. Similar mild to 30 moderate adverse events were reported in both groups. Mechanical hyperalgesia at the 31 manipulated segment was reduced after SMT compared with the control intervention. Pain 32 33 catastrophizing was reduced after SMT compared with the control intervention, but this effect was not significant after accounting for changes in clinical pain. Although the 34 reduction of segmental mechanical hyperalgesia likely contributes to the clinical benefits 35 of SMT, the role of pain catastrophizing remains to be clarified. This randomized 36 controlled trial found that 12 sessions of SMT yield greater relief of CPLBP than a control 37 intervention. These clinical effects were independent of expectations and accompanied by 38 39 an attenuation of hyperalgesia in the targeted segment and a modulation of pain catastrophizing. 40

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders Revised – April 17, 2025 To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approval 04/01/2025 To QOC for review and approval 04/17/2025 OOC reviewed and approved 04/17/2025 Page 19 of 56

Yu et al. (2024) evaluated benefits and harms of rehabilitation interventions for non-1 specific low back pain (LBP) or thoracic spine pain in the pediatric population. They 2 screened 8461 citations and 307 full-text articles. Ten quantitative studies (i.e., 8 RCTs, 2 3 non-randomized clinical trials) and one qualitative study were included. With very low to 4 moderate certainty evidence, in adolescents with LBP, spinal manipulation (1-2 5 sessions/week over 12 weeks, 1 RCT) plus exercise may be associated with a greater 6 likelihood of experiencing clinically important pain reduction versus exercise alone; and 7 group-based exercise over 8 weeks (2 RCTs and 1 non-randomized trial) may reduce pain 8 intensity. The qualitative study found information provided via education/advice and 9 compliance of treatment were related to effective treatment. No economic studies or studies 10 11 examining thoracic spine pain were identified. Authors concluded that spinal manipulation and group-based exercise may be beneficial in reducing LBP intensity in adolescents. 12 Education should be provided as part of a care program. The overall evidence is sparse. 13 Methodologically rigorous studies are needed. 14

15

Nim et al. (2024) assessed whether spinal manipulative therapy (SMT) application 16 procedures (i.e., target, thrust, and region) impacted changes in pain and disability for 17 adults with spine pain in a systematic review with network meta-analysis. They included 18 randomized controlled trials (RCTs) from recent systematic reviews and newly identified 19 20 RCTs published during the review process and employed artificial intelligence to identify potentially relevant articles not retrieved through electronic database searches. Authors 21 included RCTs of the effects of high-velocity, low-amplitude SMT, compared to other 22 SMT approaches, interventions, or controls, in adults with spine pain. The outcomes were 23 spinal pain intensity and disability measured at short-term (end of treatment) and long-term 24 (closest to 12 months) follow-ups. They included 161 RCTs (11,849 participants). Most 25 SMT procedures were equal to clinical guideline interventions and were slightly more 26 effective than other treatments. When comparing inter-SMT procedures, effects were small 27 and not clinically relevant. A general and nonspecific rather than a specific and targeted 28 SMT approach had the highest probability of achieving the largest effects. Results were 29 based on very low- to low-certainty evidence, mainly downgraded owing to large within-30 study heterogeneity, high risk of bias, and an absence of direct comparisons. Authors 31 concluded that there was low-certainty evidence that clinicians could apply SMT according 32 33 to their preferences and the patients' preferences and comfort. Differences between SMT approaches appear small and likely not clinically relevant. 34

35

36 Neck Pain

A review conducted by Walser et al. (2009) assessing the effectiveness of thoracic spinal manipulation (TSM) in managing musculoskeletal conditions. Thirteen studies were included in the review with 9 investigating the use of TSM for the treatment of neck pain. Four high-quality and 1 fair-quality studies reported significant improvement in pain in participants who received TSM over a comparison group. Two studies with fair to poor quality found significant within-group increases in cervical rotation. The authors

Page 20 of 56

concluded there is satisfactory evidence to support TSM as a treatment for certain patients 1 with neck pain in the short-term. The efficacy of TSM alone or in combination with other 2 conservative interventions for the management of patients with non-specific neck pain was 3 assessed by Huisman et al. (2013). Ten studies met the criteria for inclusion, with a range 4 in methodological quality from "average" to "good." The authors concluded that overall, 5 there was insufficient evidence to support or refute TSM as a more effective treatment than 6 control treatments in reducing pain and disability. However, the results of the review 7 showed evidence that combining TSM with other treatments such as exercise, spinal 8 mobilization, electro-thermal therapy, infrared radiation therapy, and education was more 9 effective than any of those treatments delivered without TSM. 10

11

D'Sylva et al. (2010) published a systematic review assessing the effectiveness of 12 combination therapy approaches on neck pain with multiple outcomes including pain, 13 function, disability, and patient satisfaction. The combination therapies were defined as 14 manipulation and mobilization; manipulation, mobilization, and soft tissue work; and 15 manual therapy and physical medicine modalities. The authors selected 19 trials, 37% 16 (7/19) of which had a low risk of bias. Most of the methodological weaknesses found 17 pertained to allocation concealment and blinding procedures. However, the authors noted 18 that when performing manual treatments, blinding the patient is difficult and blinding the 19 20 provider is impossible. Regarding an ideal combined treatment approach, using manipulation and mobilization alone provide short-term (but not long-term) pain relief. 21 Manipulation, mobilization, and soft tissue work were also shown to relieve pain and 22 increase patient satisfaction in the short-term. Combining manual therapy and exercise 23 seems to produce longer-term improvements across multiple outcomes. 24

25

The literature on the efficacy of manual therapies alone or with exercises in patients with 26 nonspecific neck pain was reviewed by Vincent et al. (2013). The authors divided the 27 studies into 3 groups based on symptom duration: acute (defined as <3 months), chronic 28 (>3 months) and neck pain of variable duration. The selection criteria rendered 27 RCTs 29 of which 9 were determined to be low quality and 18 high quality. In general, the evidence 30 suggests that manual therapy contributes to improvements in pain and function, especially 31 when used in combination with other therapies. For patients with acute neck pain, 32 33 manipulation produced better short-term results than electro-thermal therapy and better long-term results than anti-inflammatory or analgesic medications (with varied treatment 34 protocols). Multimodal management that included manual therapy was favored over 35 passive interventions such as a cervical collar or rest, and contradictory results were found 36 37 when cervical and thoracic manipulation was compared. For chronic neck pain, regardless of follow-up duration, manual therapy combined with exercise provided better 38 39 improvements in pain and function than did manual therapy or exercise alone. In the shortterm, results were better with manipulation than with medications or acupuncture; 40 however, in the long-term, no differences were found between these groups. For patients 41 with a varied duration of neck pain, the combination of manipulation and mobilization or 42

Page 21 of 56

1 exercise and mobilization was better than exercise alone, medications and passive

- interventions. Cervical manipulation combined with laser therapy was more effective than
 either treatment performed alone.
- 4

Miller et al. (2010) reviewed the evidence for trials investigating the effectiveness of 5 manual therapy, which included manipulation and mobilization, and exercise for neck pain 6 in adults with neck pain. Seventeen studies were included in the review and examined 7 acute, subacute, chronic, and mixed durations of pain. The range in risk of bias was low (5 8 trials) to high risk (12 trials), and the authors again cite blinding as a limitation in applying 9 methodological criteria. Patient-reported outcomes cannot meet observer blinding criteria, 10 11 and manual therapies prohibit the provider from being blinded to the treatment. Mobilization and manipulation provided similar benefits, and the use of these treatments 12 alone was shown to relieve pain in the short-term. Exercise alone was shown to improve 13 pain and function in the long-term. Combining manual therapy and exercise produced 14 greater short-term pain reduction than exercise alone and longer-term improvements across 15 multiple outcomes when compared to manual therapy alone. Salt et al. (2011) conducted a 16 systematic review to investigate evidence for the non-invasive management of 17 cervicobrachial pain. Eleven studies were included. There was conflicting evidence that 18 manual therapy and exercise provided a long-term reduction in pain and influenced 19 20 function and disability. Meta-analyses suggested that manual therapy and exercise improved pain immediately following treatment, but results were not statistically 21 significant. One trial compared cervical manipulation and medication to a medication-only 22 group in patients with pain in the neck, arm or hand related to cervical joint hypomobility. 23 A significant between-group difference was found when measuring immediate results, 24 however; differences were not sustained at 1- and 3-week follow-up. 25

26

27 Martel et al. (2011) hypothesized that participants with chronic neck pain who received preventative SMT in combination with a home exercise program would experience 28 improvements in pain, disability and function compared to a group receiving only SMT or 29 no treatment. The authors performed a 2-phase RCT in which the first phase (symptomatic) 30 consisted of 10-15 treatments that were provided over a 5- to 6-week period. The results of 31 this phase revealed a clinically and statistically significant average decrease of 1.1 cm on 32 33 the VAS (Visual Analog Scale) for pain and 6.5 points on the BQ (Bournemouth Questionnaire) for disability. Function (measured by ROM) significantly improved as well, 34 except for lateral flexion. Participants were randomized into a SMT, SMT with exercise or 35 an attention-controlled group (no treatment, but self-management such as applying ice was 36 37 allowed and discussed condition at each visit) during the second phase (preventative). This phase entailed 10 months of treatment at approximately 1x/month for the active groups and 38 39 every 2 months for the inactive. Significant group differences were not found for outcomes in this phase, however; most of the participants in each group retained a level of pain below 40 clinically acceptable (2-point difference from baseline symptomatic phase VAS). 41 Therefore, results indicated no additional benefit to participants receiving monthly 42

preventative SMT or SMT with home exercise compared with a consultation visit to a chiropractor every other month and the hypothesis was rejected. This suggests by simply managing a patient for neck pain may decrease recurrence of incidents, and that strategies

- 4 for treatment vs. those for prevention need further investigation and delineation.
- 5

In a randomized controlled trial by Casanova-Mendez et al., (2014) two different thoracic 6 spinal manipulative techniques were compared for immediate and short-term effects on 7 patients with chronic neck pain. Sixty-four participants were allocated, received a single 8 active treatment, and completed the study. The intervention for the dog-technique group 9 was described as directing the patient to assume a supine position with their arms folded 10 11 across their chest. The right hand of the therapist was positioned to contact the T4 vertebrae; the other hand was placed on the participant's elbows to add flexion, reduce 12 slack, and deliver a HVLA thrust in the anteroposterior direction. The other intervention, 13 toggle-recoil (TR), was described as the therapist contacting the T4 transverse processes 14 with the pisiforms in crossed-hand set-up on a participant lying prone. A posterior anterior 15 HVLA thrust was delivered. Outcomes measured were pain pressure threshold (PPT), 16 ROM and self-reported pain, and all outcomes improved using both techniques. The TR 17 group results were superior, showing statistical significance in all outcomes, however; 18 there were no clinical differences between the groups except for slightly better effects from 19 20 TR on left rotation, extension, and right lateral flexion.

21

Low-force mobilization was examined against high-force mobilization and placebo in a 22 RCT conducted by Snodgrass et al. (2014) to add to the evidence regarding optimal dosing 23 for chronic neck pain treatment. The primary outcome was PPT, and resting pain, ROM 24 and spinal stiffness measured as secondary outcomes immediately following treatment and 25 at a 4-day follow-up session. Sixty-four participants were randomized into 1 of the 3 groups 26 receiving a single session of treatment. In the low-force group, the average mean force 27 applied was 30.8 N and 88.6 N for the high-force group during 3 sets of 1-minute 28 posteroanterior mobilization applied to the most painful spinous process. The placebo 29 treatment consisted of detuned laser for 3 sets of 1 minute. No differences were found 30 between groups in PPT or ROM at immediate or follow-up measurements. The high-force 31 group fared better than placebo in spinal stiffness at follow-up but was not significantly 32 33 different from the low-force group. However, regarding pain, participants in the high-force group reported significant pain reduction at follow-up over the low-force group (not over 34 placebo). 35

36

Young et al. (2014) performed a review examining the effects of thoracic spinal manipulation (TSM) for the treatment of mechanical neck pain. The quality of evidence overall was determined to be fair (measured with the PEDro scale), and the authors' inclusion criteria rendered 14 studies. This review aimed to focus on literature comparing the effectiveness of TSM versus mobilization, however; only 1 study was found that directly compared these treatments. Additionally, only short-term outcomes were collected in all trials. Results showed that TSM was superior to mobilization, placebo, modalities,
and no treatment. These results prompted the authors to conclude that the evidence is scarce
and of questionable methodological quality regarding the use of thoracic mobilization, but
a considerable amount of varied quality evidence exists supporting TSM as an intervention
for improvements in pain, disability, and ROM in the short-term.

6

A systematic review was conducted by Tsertsvadze et al. (2014) of trial-based economic 7 evaluations of manual therapy compared to other alternative treatments. Two trials out of 8 the included 25 reported results of the effectiveness of manual therapy in treating neck 9 pain. One trial found that spinal mobilization, defined as low velocity passive movements 10 11 within or at the limit of joint ROM, had significantly lower costs and slightly better effects compared to either physiotherapy or general practitioner care at 1-year follow-up. Clinical 12 outcomes showed manual therapy provided a faster recovery rate than physiotherapy and 13 general practitioner care after 7 weeks, with respective rates at 68%, 51% and 36%. 14 Another trial evaluated manual therapy, defined as manipulation and mobilization, against 15 a behavioral graded activity (BGA) program. The authors concluded that their cost-16 effective analyses showed that BGA is not cost-effective in comparison with manual 17 therapy in measures of recovery and quality of life. 18

19

20 Chu et al. (2014) focused their review and meta-analysis to the evaluation of sympathetic nervous system responses and clinical outcomes using spinal manual therapy (SMT) to the 21 cervical or thoracic spine in the management of neck, upper back, or upper extremity pain. 22 Spinal manipulation was a term used in the search strategy but did not render any results 23 after applying the inclusion criteria. For this review, the intervention most described 24 consisted of a Grade III mobilization technique (using Maitland classification), where the 25 researcher contacted the designated vertebral segment using oscillatory pressure. In total, 26 11 studies were included; 3 of those studies used a pain outcome and 4 measured ROM. In 27 studies that included a comparison group, between-group analysis was calculated using 28 data from a control group. Within-group analyses were also performed, and authors 29 reported both the between- and within-group analyses showed small but significant effect 30 sizes in improved pain and ROM. Manual therapy produced increased peripheral skin 31 conductance and upper extremity ROM as well as decreased skin temperature and patient-32 33 reported pain.

34

Lopez-Lopez et al. (2015) investigated the differences in effectiveness between 35 manipulation, mobilization and sustained natural apophyseal glide (SNAG) techniques and 36 their relationship to psychological factors in the treatment of chronic neck pain. The 37 primary outcome was pain, and ROM and pressure pain threshold (PPT) were secondary 38 39 outcomes measured immediately following a single treatment. The group assigned to manipulation received a high-velocity low-amplitude supine technique, the mobilization 40 group received a unilateral posteroanterior grade III passive oscillatory technique in the 41 prone position, and the SNAG technique was performed on a seated patient while they 42

Page 24 of 56

simultaneously moved their head from a standardized position. The mean difference in pain at rest was 3.08 (P=<0.01) in the HVLA group, 1.51 (P=<0.05) in the mobilization group, and 0.26 (not significant) in the SNAG group. However, in pain and functional measurements with movement and PPT, there were no differences between the groups overall as all significantly improved. Concerning psychological factors, better outcomes were shown with mobilization if the participant had high levels of anxiety. If anxiety was low, the manipulation and SNAG techniques produced better results.

8

A Cochrane review was conducted by Gross et al. in 2015 as an update of 2 previous 9 reviews (performed in 2004 and 2010) assessing the effects of manipulation or 10 mobilization alone compared to a control or another treatment on pain and other outcomes 11 in adults with neck pain. The review included 51 randomized controlled trials with a total 12 of 2.920 participants, and 80% (41/51) of the studies were of low or very low quality. 13 Eighteen of the trials compared manipulation/mobilization to a control, 34 compared 14 manipulation/mobilization to another treatment, and one trial had two comparisons. 15 Manipulation was evaluated for both the cervical and thoracic spinal regions. For subacute 16 or chronic neck pain, a single session of cervical manipulation provided temporary pain 17 relief when compared to an inactive control. Multiple treatments produced conflicting 18 evidence at short-term follow-up. However, multiple sessions of thoracic spinal 19 20 manipulation were shown to reduce pain at short-term and intermediate-term follow-up in patients with acute or subacute neck pain and improve function in patients with acute to 21 chronic neck pain when compared to control. Cervical manipulation for acute to subacute 22 neck pain was more effective for improving pain and function than various combinations 23 of analgesics, muscle relaxants and non-steroidal anti-inflammatory medications. 24

25

For the conservative treatment of cervical radiculopathy, Zhu et al. (2015) examined the 26 evidence for the effectiveness and safety of using cervical spine manipulation. Three 27 studies, published in Chinese, met the criteria for inclusion in the systematic review, and 28 the analysis represented a total of 502 patients with a diagnosis of degenerative cervical 29 radiculopathy. Each was a two-arm RCT comparing manipulation to cervical computer 30 traction (serving as a control group) where active treatment frequency was approximately 31 2x/ week and inactive frequency varied from 3-7x/week. The duration of the treatments in 32 33 2 of the trials was 2 weeks (1 including a 4-week follow-up), and 4 weeks in the other. Mean differences in pain measured by VAS showed statistically significant improvements 34 in the active groups in all 3 studies. Overall, the authors deemed the level of evidence to 35 be of moderate quality due to statistical heterogeneity ($I^2 > 50\%$). They used the PEDro 36 scale to determine methodological quality; a score of 5 or above (out of a possible 10) was 37 considered acceptable and indicated low risk of bias. Two of the 3 studies scored a 5, and 38 39 1 scored a 6. The items related to blinding considerations were not met in all 3 of the studies, and the authors echoed the opinions of many other authors regarding the limitations 40 or difficulties in blinding during trials involving spinal manipulation. However, other 41 methods of more concern were a lack of detail regarding sample size calculations, 42

Page 25 of 56

randomization, allocation concealment, and intention-to-treat analyses. Additionally,
 adverse event reporting was not prevalent, leading to inconclusive safety results.

3

In a revised clinical practice guideline linked to the International Classification of 4 Functioning, Disability and Health From the Orthopaedic Section of the American Physical 5 Therapy Association, Blanpied et al. (2017) reports that for acute neck pain with mobility 6 deficits, clinicians should provide thoracic manipulation, a program of neck ROM 7 exercises, and scapulothoracic and upper extremity strengthening to enhance program 8 adherence and clinicians may provide cervical manipulation and/or mobilization. For 9 subacute neck pain with mobility deficits included whiplash associated disorders, 10 11 clinicians may provide thoracic manipulation and cervical manipulation and/or mobilization. For chronic neck pain with mobility deficits, clinicians should provide a 12 multimodal approach of the following: 13

- 14
- Thoracic manipulation and cervical manipulation or mobilization
- Mixed exercise for cervical/scapulothoracic regions: neuromuscular exercise (e.g., coordination, proprioception, and postural training), stretching, strengthening, endurance training, aerobic conditioning, and cognitive affective elements
- 17 18

15

16

For patients with subacute or chronic neck pain with headache, clinicians should provide cervical manipulations or mobilizations. For patients with chronic neck pain with radiating pain, clinicians should provide mechanical intermittent cervical traction, combined with other interventions such as stretching and strengthening exercise plus cervical and thoracic mobilization/manipulation.

24

Griswold et al. (2018) compared the clinical effectiveness of concordant cervical and 25 thoracic non-thrust manipulation (NTM) and thrust manipulation I for patients with 26 mechanical neck pain. The Neck Disability Index (NDI) was the primary outcome. 27 Secondary outcomes included the Patient-Specific Functional Scale (PSFS), numeric pain-28 rating scale (NPRS), deep cervical flexion endurance (DCF), global rating of change 29 (GROC), number of visits, and duration of care. Outcomes were collected at baseline, visit 30 2, and discharge. Patients were randomly assigned to receive either NTM or TM directed 31 at the cervical and thoracic spines. Techniques and dosages were selected pragmatically 32 and applied to the most symptomatic level. One hundred three patients were included in 33 the analyses (NTM, n = 55 and TM, n = 48). The between-group analyses revealed no 34 differences in outcomes on all outcome measures, number of visits and duration of care. 35 Authors concluded that NTM and TM produce equivalent outcomes for patients with 36 mechanical neck pain. 37

38

Masaracchio et al. (2019) investigated the role of thoracic spine manipulation (TSM) on pain and disability in the management of mechanical neck pain (MNP). Across the included studies, there was increased risk of bias for inadequate provider and participant blinding. The GRADE approach demonstrated an overall level of evidence ranging from very low

Page 26 of 56

to moderate. Meta-analysis that compared TSM to thoracic or cervical mobilization 1 revealed a significant effect favoring the TSM group for pain and disability. Meta-analysis 2 that compared TSM to standard care revealed a significant effect favoring the TSM group 3 for pain and disability at short-term follow-up, and a significant effect for disability at long-4 term follow-up. Meta-analysis that compared TSM to cervical spine manipulation revealed 5 a non-significant for pain without a distinction between immediate and short-term follow-6 up. Limitations include heterogeneity among the studies making it difficult to assess the 7 true clinical benefit, as well as the overall level of quality of evidence. Authors conclude 8 that TSM has been shown to be more beneficial than thoracic mobilization, cervical 9 mobilization, and standard care in the short-term, but no better than cervical manipulation 10 11 or placebo thoracic spine manipulation to improve pain and disability. Coulter et al. (2019) sought to determine the efficacy, effectiveness, and safety of various mobilization and 12 manipulation therapies for treatment of chronic nonspecific neck pain. A total of 47 13 randomized trials were included in the systematic review and included a total of 4,460 14 patients with nonspecific chronic neck pain who were being treated by a practitioner using 15 various types of manipulation and/or mobilization interventions. A total of 37 trials were 16 categorized as unimodal approaches and involved thrust or non-thrust compared with 17 sham, no treatment, or other active comparators. Of these, only 6 trials with similar 18 intervention styles, comparators, and outcome measures/timepoints were pooled for meta-19 20 analysis at 1, 3, and 6 months, showing a small effect in favor of thrust plus exercise compared to an exercise regimen alone for a reduction in pain and disability. Multimodal 21 approaches appeared to be effective at reducing pain and improving function from the 10 22 studies evaluated. Authors concluded that studies provide low-moderate quality evidence 23 that various types of manipulation and/or mobilization will reduce pain and improve 24 function for chronic nonspecific neck pain compared to other interventions. It appears that 25 multimodal approaches, in which multiple treatment approaches are integrated, might have 26 the greatest potential impact. According to the published trials reviewed, manipulation and 27 mobilization appear safe. 28

29

Bernal-Utrera et al. (2020) compared the effects of two experimental treatments based on 30 manual therapy and therapeutic exercise. The short-term and mid-term changes produced 31 by different therapies on subjects (n=69) with non-specific chronic neck pain were studied. 32 The sample was randomized divided into three groups: manual therapy, therapeutic 33 exercise, and placebo. No statistically significant differences (P 0.05) were obtained 34 between the experimental groups, if they exist against the control group. Nonetheless, they 35 found that manual therapy improved perceived pain before than therapeutic exercise, while 36 37 therapeutic exercise reduced cervical disability before than manual therapy. Authors concluded that there were no differences between groups in short and medium terms. 38 Manual therapy achieves a faster reduction in pain perception than therapeutic exercise. 39 40 Therapeutic exercise reduces disability faster than manual therapy. Clinical improvement

could potentially be influenced by central processes. 41

Hawk et al. (2020) developed an evidence-based clinical practice guideline through a 1 broad-based consensus process on best practices for chiropractic management of patients 2 with chronic musculoskeletal (MSK) pain. Delphi process was conducted January-3 February 2020. The 62-member Delphi panel reached consensus on chiropractic 4 management of five common chronic MSK pain conditions: low-back pain (LBP), neck 5 pain, tension headache, osteoarthritis (knee and hip), and fibromyalgia. Recommendations 6 were made for nonpharmacological treatments, including acupuncture, spinal 7 manipulation/mobilization, and other manual therapy; modalities such as low-level laser 8 and interferential current; exercise, including yoga; mind-body interventions, including 9 mindfulness meditation and cognitive behavior therapy; and lifestyle modifications such 10 11 as diet and tobacco cessation. Authors concluded that clinicians should consider multiple approaches for neck pain. Both active and passive, and both physical and mind-body 12 interventions should considered management be in the plan. Spinal 13 manipulation/mobilization was included in this recommendation for neck pain. 14

15

Chaibi et al. (2021) reviewed original randomized controlled trials (RCTs) assessing the 16 effect of spinal manipulative therapy (SMT) for acute neck pain. Six studies were included. 17 The overall pooled effect size for neck pain was very large -1.37 favoring treatments with 18 SMT compared with controls. Minor transient adverse events reported included increased 19 20 pain and headache, while no serious adverse events were reported. Authors concluded that SMT alone or in combination with other modalities was effective for patients with acute 21 neck pain. However, limited quantity and quality, pragmatic design, and high heterogeneity 22 limit the findings. Bakken et al. (2021) investigated the combination of home stretching 23 exercises and spinal manipulative therapy in a multicenter randomized controlled clinical 24 trial, carried out in multidisciplinary primary care clinics. The treatment modalities utilized 25 were spinal manipulative therapy and home stretching exercises compared to home 26 stretching exercises alone. Both groups received 4 treatments for 2 weeks. The primary 27 outcome was pain, where the subjective pain experience was investigated by assessing pain 28 intensity (Numerical Rating Scale - 11) and the quality of pain (McGill Pain 29 Ouestionnaire). Neck disability and health status were secondary outcomes, measured 30 using the Neck Disability Index the EQ-5D, respectively. One hundred thirty-one adult 31 subjects were randomized to one of the two treatment groups. All subjects had experienced 32 33 persistent or recurrent neck pain the previous 6 months and were blinded to the other group intervention. The clinicians provided treatment for subjects in both group and could not be 34 blinded. The researchers collecting data were blinded to treatment allocation, as was the 35 statistician performing data analyses. An intention-to-treat analysis was used. Sixty-six 36 subjects were randomized to the intervention group, and sixty-five to the control group. 37 Authors concluded that based on their findings, there is no additional treatment effect from 38 39 adding spinal manipulative therapy to neck stretching exercises over 2 weeks for patients with persistent or recurrent neck pain. 40

Page 28 of 56

Thoomes et al. (2022) aimed to establish consensus on effective nonsurgical treatment 1 modalities at different stages (i.e., acute, subacute, or chronic) of cervical radiculopathy 2 (CR) using the Delphi method approach. Experts within the field rated their agreement with 3 a list of proposed treatment modalities according to the stage of CR. Agreement was 4 measured using a 5-point Likert scale. Descriptive statistics were used to measure 5 agreement (median, interquartile ranges, and percentage of agreement). Consensus criteria 6 were defined a priori for each round. Consensus for Round 3 was based on ≥ 2 of the 7 following: a median Likert scale value of ≥ 4 , interquartile range value of ≤ 1 , and/or a 8 percentage of agreement \geq 70%. Data analysis produced a consensus list of effective 9 treatment modalities in different stages of recovery. According to experts, the focus of 10 11 multimodal management in the acute stage should consist of patient education and spinal manipulative therapy, specific (foraminal opening) exercises, and sustained pain-relieving 12 positions. In the subacute stage, increasing individualized physical activity including 13 supervised motor control, specific exercises, and/or neurodynamic mobilization could be 14 added. In the chronic stage, focus should shift to include general aerobic exercise as well 15 as focused strength training. Postural education and vocational ergonomic assessment 16 should also be considered. Authors concluded that multimodal conservative management 17 of individuals with CR should take the stage of the condition into consideration. The focus 18 of therapeutic interventions should shift from passive pain-relieving intervention in the 19 20 acute stage to increasingly more individualized physical activity and self-management in the chronic stage. 21

22

Minnucci et al. (2023) aimed to estimate the benefits and harms of cervical spinal 23 manipulative therapy (SMT) for treating neck pain. RCTs evaluating SMT compared to 24 guideline-recommended and non-recommended interventions, sham SMT, and no 25 intervention for adults with neck pain were eligible for this systematic review. Prespecified 26 outcomes included pain, range of motion, disability, and health-related quality of life. 27 Authors included 28 RCTs. There was very low to low certainty evidence that SMT was 28 more effective than recommended interventions for improving pain at short term and long 29 term and for reducing disability at short-term and long term. Transient side effects only 30 were found (e.g., muscle soreness). Authors concluded that there was very low certainty 31 evidence supporting cervical SMT as an intervention to reduce pain and improve disability 32 33 in people with neck pain.

34

Liu et al. (2023) aimed to determine the effectiveness of manipulative therapy for chronic neck pain in a systematic review and meta-analysis. Seventeen RCTs, including 1,190 participants, were included in this meta-analysis. Manipulative therapy showed better results regarding pain intensity and neck disability than the control group. Manipulative therapy was shown to relieve pain intensity and neck disability. However, the studies had high heterogeneity, which could be explained by the type and control interventions. In addition, there were no significant differences in adverse events between the intervention

Page 29 of 56

and the control groups. Authors concluded that manipulative therapy reduces the degree of
 chronic neck pain and neck disabilities.

3

Carrasco-Uribarren et al. (2024) investigated the effects of cervical thrust or non-thrust 4 manipulations compared to thoracic or cervicothoracic manipulations for improving pain, 5 disability, and range of motion in patients with neck pain. Six studies were included. Meta-6 analyses revealed no differences between cervical thrust or non-thrust manipulations and 7 thoracic or cervicothoracic manipulations in pain intensity, disability, or cervical range of 8 motion in any plane. The certainty of evidence was downgraded to very low for pain 9 intensity, to moderate or very low for disability and to low or very low for cervical range 10 of motion. There is moderate to very low certainty evidence that there is no difference in 11 effectiveness between cervical thrust or non-thrust manipulations and thoracic or 12 cervicothoracic manipulations for improving pain, disability, and range of motion in 13 patients with neck pain. 14

15

Akgüller et al. (2025) aimed to compare the effectiveness of cervical thrust manipulation 16 and exercise in patients with mechanical neck pain (MNP). Sixty (mean age 31.45 ± 7.31 17 years) patients were randomized into three groups: manipulation (Group 1); exercise 18 (Group 2); and manipulation plus exercise (Group 3). All interventions were performed 2 19 20 days a week for 6 weeks. The visual analog scale (VAS) and Neck Disability Index (NDI) were primary outcome measures; pressure pain threshold (PPT), range of motion (ROM), 21 Short form-36 (SF-36), and Global Rating of Change (GROC) were secondary outcome 22 measures. All parameters improved in all groups. Only the minimal clinically important 23 difference (MCID) for NDI was achieved in Group 3. Group 3 had greater improvement 24 in: VAS-rest; NDI; PPT-left; and vitality, as well as higher GROC compared to the other 25 groups. Group 3 was superior to Group 2 in terms of: ROM; and emotional well-being. 26 Group 1 was superior to Group 2 in terms of ROM. Authors concluded that the combined 27 application of cervical thrust manipulation and exercise in MNP resulted in greater 28 improvement in clinical parameters, especially function, and higher patient satisfaction in 29 the short term compared to their application alone. Because of its positive effects, cervical 30 thrust manipulation can be added to the exercise program according to the patient's needs 31 and suitability for manipulation. 32

33

34 Thoracic Spine Pain

Spinal manipulation has not been studied in any systematic way (e.g., through RCTs) for the treatment of pain in the mid-back region. Some studies cited have included thoracic spine manipulation as part of a treatment package for neck pain, but none have looked at pain in the thoracic spine itself as an outcome. Indeed, there are virtually no experimental studies that have evaluated the treatment of thoracic spine pain of mechanical origin.

Page 30 of 56

1 This scientific vacuum cannot be interpreted to constitute a virtual ban on the treatment of

thoracic spine pain. Patients with such complaints are going to present themselves and are
 entitled to a reasoned response by the healthcare provider.

4

Given the literature on analogous disorders of the lumbar and cervical spine and given the likelihood that the active mechanisms of manual therapies such as spinal manipulation are comparable in the thoracic spine, this clinical policy guideline views spinal manipulation as a valid treatment option for thoracic spinal pain. As such, spinal manipulation is considered medically necessary when:

There is a diagnosis of spinal pain of mechanical origin;

- 10 11
- There are no diagnostic red flags;
- There is adequate documentation; and
- 12 13 14

Adequate clinical progress continues to be made.

15 Headache Disorders

•

A study by Chaibi et al. (2011) was completed reviewing the efficacy of MT for the 16 treatment of migraine. Seven studies were included in the review of which 4 applied SMT. 17 A group of authors performed 2 of the studies where the first was a controlled trial and the 18 second was a follow-up questionnaire. The authors of the systematic review gave these 19 20 studies a low methodological quality score. The first study compared 3 groups: cervical SMT by a chiropractor, cervical SMT by physician or physical therapist, and cervical 21 mobilization (control group) by a physician or physical therapist. The resultant mean 22 23 reductions in frequency, intensity, and duration (pre- and post-treatment) were 40, 43 and 36% in the chiropractic SMT group, 13,12 and 8% in the physician/PT SMT group, and 24 34, 15 and 20% in mobilization group with no statistically significant differences between 25 26 the groups. At the 20-month follow-up, further improvement was reported from pre- to post-trial mean reduction in attack frequency at 58, 29 and 54% in the respective groups. 27 Another RCT (with a good methodological score) with 3 groups compared SMT by 28 29 diversified technique, amitriptyline, and a combination of SMT/amitriptyline during and after an 8-week intervention period. From baseline to the last 4 weeks of treatment and 30 from baseline to 4 weeks post-treatment, mean intensity decreased by 40 and 42% in SMT 31 group, 49 and 24% in amitriptyline group, and 41 and 25% in the combination group. Mean 32 frequency was reduced equally between the groups. From baseline to post-treatment, over-33 the-counter medication was reduced by 55%, 28% and 15% in the groups, respectively. 34 With a good methodological quality score, the 4th study found statistically significant 35 improvement favoring the SMT group over the control. Reductions in frequency (p<0.05), 36 duration (P<0.01), disability (p<0.05) and medication use (p<0.001) were shown. The 37 authors concluded that providers may want to consider referring migraine patients for SMT 38 if they are not responding to prophylactic medication or if reasons exist against medication 39 as SMT might be an equally effective treatment. Again, Posadzki and Ernst performed a 40 parallel systematic review and included 3 of the same studies. They did not regard SMT as 41 42 a treatment recommendation based on the scarcity of evidence and poor quality of studies.

Page 31 of 56

Posadzki and Ernst (2012) performed a review of SMT for tension type headache (TTH) 1 and found favorable results for the treatment but could not pool data due to the statistical 2 and clinical heterogeneity of the included studies. The results of this meta-analysis found 3 a moderate effect size supporting MT and suggest that it is more effective than medication 4 in the short term for patients with TTH. Chaibi and Russell (2012) conducted a systematic 5 review assessing the efficacy of MT for the treatment of primary chronic headache. The 6 search terms contained various headache conditions combined with MT terms including 7 'manipulative therapy,' 'spinal manipulative therapy,' and 'chiropractic treatment.' Out of 8 the 6 studies that met the review criteria, 1 evaluated massage therapy and 5 evaluated 9 physical therapy for treatment effects for chronic TTH. The physical therapy interventions 10 11 consisted of soft tissue therapy, exercises, stretching, TENS, postural correction, and mobilization; therefore, SMT was not evaluated. However, the results showed that MT was 12 equal in efficacy to prophylactic medication with tricyclic antidepressant. The massage 13 group had significant reduction in headache intensity when compared to detuned 14 ultrasound. In 3 of the physical therapy trials, 54-85% of participants had >50% reduction 15 in headache frequency post-treatment, and 2 of the studies reported a maintained effect at 16 a 6-month follow-up. 17

18

Racicki et al. (2013) conducted a study assessing the effectiveness of various non-invasive 19 20 treatments for cervicogenic headaches. The conservative interventions included were MT or exercise. Six studies were included in the review, and all were determined to have good 21 methodological quality scores on the PEDro scale. One of the most common 22 methodological weaknesses involved blinding. The therapists were not blinded in all 6 23 studies, but as is the case with all MT studies, the intervention that is delivered must be 24 known. Three of the trials did not blind the participants. Three studies had weaknesses 25 associated with not offering point measures or measures of variability for 1 key outcome 26 and intention to treat analysis. Some conflicting evidence was found among the studies; 4 27 concluded that manipulative therapy had a significant effect, but 2 showed no clinically or 28 statistically significant differences (1 of which was conducted with participants aged 7-15 29 years). Five studies evaluated manipulation (1 included cervico-scapular strengthening 30 exercises and mobilization) and 1 evaluated mobilization only. The cervical spine was the 31 main region where the interventions were applied, but 1 study also incorporated upper 32 33 thoracic SMT. After calculating effect sizes and reviewing all results, the authors found improvements in headache intensity, frequency and in neck pain when utilizing cervical 34 manipulation, mobilization, and exercise. These findings echoed those of 2 previous 35 reviews. 36

37

Chaibi and Russell (2014) also performed a systematic review to assess efficacy of manual therapies for the treatment of cervicogenic headache. The authors identified 7 studies that met the inclusion criteria with 6 involving a cervical SMT intervention. All studies were deemed to have at least good methodological quality based on scores of over 50 out of 100, and 1 study with excellent quality scoring 81. The most common methodological issues

Page 32 of 56

were related to blinding and the number of participants. Two studies reported a statistically 1 significant reduction in NSAID consumption from pre- to post-treatment in the cervical 2 SMT group, but no statistically significant difference in consumption between cervical 3 SMT and control groups. Another trial found a 50% reduction in the frequency of 4 participant's headaches in the exercise group (76%), cervical SMT group (71%), combined 5 exercise and SMT group (81%) and control (29%) and 100% reduction in 31, 33, 42 and 6 4% of the groups respectively. The combined group also showed significantly reduced 7 durations of headaches immediately post-treatment (P<0.05) and at 12-month follow-up 8 (P<0.05). Dose response was evaluated in 2 of the studies. One reported percentages of 9 improvement in headache intensity and frequency that increased as treatment incidence 10 11 increased; however, significant reductions in intensity were shown in the SMT 4x/week group compared to 1x/week at 4-week follow-up and in the SMT 3 and 4x/week compared 12 to 1x/week at 12-week follow-up. The other study compared 1 and 2x/week SMT and light 13 massage control groups and found more improvement in the treatment groups over the 14 controls, but significant improvement was found specifically in the 2x/week SMT group 15 (P<0.05) compared to the control group at 4, 12 and 24-week follow-up concerning 16 headache intensity. Based on 1 treatment, another study showed significant reductions in 17 headache days from baseline to 2-month follow-up in both the cervical SMT (P<0.01) and 18 sham (P<0.03) groups but no statistically significant change in either group regarding 19 20 headache frequency, total duration, and intensity. The authors concluded that the results were difficult to evaluate due to only 1 study incorporating a control group, but SMT may 21 be an effective treatment for cervicogenic headache. A very similar systematic review was 22 published by Posadzki and Ernst (2011), who concluded that evidence for the effectiveness 23 24 of SMT for cervicogenic headaches is inconclusive.

25

Espi-Lopez et al. (2014) designed a study to determine the effectiveness of delivering one 26 MT technique versus a combination of MT techniques in patients with tension type 27 headache (TTH). Patients were randomized into 1 of the 3 active treatment groups or the 28 control (4th group) at 19 per group. The treatment plan for each group consisted of 4 visits 29 at 7-day intervals. The active treatments were either a suboccipital soft tissue inhibition 30 therapy (SI); manipulation of the occiput, atlas, and axis (OAA); or combined SI + OAA. 31 Outcomes measured varying factors of headache disability including the Headache 32 33 Disability Inventory (HDI assesses an overall score and subscales of pain severity, frequency, function, and emotions) and presence of associated symptoms such as 34 photo/phonophobia and pericraneal tenderness). Both the OAA and combined groups 35 showed significant reductions in headache frequency and differences in functional and 36 emotional subsets of HDI score (P<0.05). No change in frequency was observed in the SI 37 or control groups. In all 3 active groups, headache severity was significantly reduced 38 39 (P<0.05) where no change was noted for the control. Only participants receiving the combined treatment reported significantly less frequency of photo/phonophobia and 40 pericranial tenderness. Regarding between-group differences, results favored the OAA and 41 combined groups. The authors concluded that individual techniques have different effects, 42

Page 33 of 56

but that manipulative OAA alone was effective for reducing severity, frequency, and 1 functional and emotional features of disability related to TTH. 2

3

Espi-Lopez et al. (2014) also evaluated the effectiveness of manual and manipulative 4 therapy for patients with TTH. Patients were randomly assigned to either receive 1 of 3 5 active treatments (SI, OAA or a combination) or no treatment. Outcomes included a 6 perception of pain questionnaire, cervical ranges of motion, and frequency and intensity of 7 headaches. Measures were collected pre-treatment and at the end of a 4-week treatment 8 period, and again at a 4-week follow-up. Perception of pain improved significantly in all 9 treatment groups with manipulation showing greatest treatment effect. All treatment 10 groups showed increased left and right rotation; however, only the SI and OAA groups had 11 sustained benefit at the 4-week follow-up. The frequency of headaches was significantly 12 reduced through the end of the study in the combined group, and intensity improved in the 13 OAA, combined and control group at treatment conclusion and at follow-up. MT and 14 manipulation, alone and in combination, were effective in reducing pain perception, but 15 manipulation seemed to fare the best. The manipulation and combination treatments were 16 effective in reducing frequency and intensity. Mesa-Jimenez et al. (2015) conducted a 17 meta-analysis to evaluate the efficacy of manual therapies compared to pharmacological 18 drugs in the management of TTH. Five studies were included with methodological quality 19 20 scores ranging from fair to excellent. Manual therapy (MT) involving SMT/mobilization, soft tissue therapy or exercise or a combination of these was shown to be more effective in 21 reducing headache frequency and intensity immediately following treatment. Additionally, 22 MT was associated with a statistically significant reduction in the number of headache days 23 per month as well as number of hours per day with a headache when compared to 24 medication. However, at long-term follow-up (24 weeks), there were no differences 25 between the treatments on headache intensity. 26

27

In a pragmatic RCT, Vernon et al. (2015) studied patients with TTH and cervicogenic 28 headaches. They compared one group who received 5 weeks of usual chiropractic treatment 29 to another group who received the same treatment in addition to 4 weeks of a self-30 acupressure pillow. Usual chiropractic treatment consisted of SMT to the cervical and 31 upper thoracic spine, and could include mobilizations, soft tissue therapy or postural 32 33 exercises, and the groups received nearly the same levels of all interventions. The pillow was prescribed to be used 2x/day for 5 minutes and during a headache episode up to 34 3x/episode. Although a true comparison between the groups could not be made due to a 35 failure in randomization, post hoc analysis revealed statistically and clinically significant 36 reductions in headache frequency (>40% reduction) in the chiropractic-only group (71%). 37

38

39 Dunning et al. (2016) compared the effects of manipulation to mobilization and exercise in individuals with cervicogenic headache (CH). One hundred and ten participants (n =40 110) with CH were randomized to receive both cervical and thoracic manipulation (n = 58) 41 or mobilization and exercise (n = 52). The primary outcome was headache intensity as 42

Page 34 of 56

measured by the Numeric Pain Rating Scale (NPRS). Secondary outcomes included 1 headache frequency, headache duration, disability as measured by the Neck Disability 2 Index (NDI), medication intake, and the Global Rating of Change (GRC). The treatment 3 period was 4 weeks with follow-up assessment at 1 week, 4 weeks, and 3 months after 4 initial treatment session. Results demonstrated that individuals with CH who received both 5 cervical and thoracic manipulation experienced significantly greater reductions in 6 headache intensity (p <0.001) and disability (p <0.001) than those who received 7 mobilization and exercise at a 3-month follow-up. Individuals in the upper cervical and 8 upper thoracic manipulation group also experienced less frequent headaches and shorter 9 duration of headaches at each follow-up period (p < 0.001 for all). Additionally, patient 10 11 perceived improvement was significantly greater at 1 and 4-week follow-up periods in favor of the manipulation group (p <0.001). Authors concluded that six to eight sessions 12 of upper cervical and upper thoracic manipulation were shown to be more effective than 13 mobilization and exercise in patients with CH, and the effects were maintained at 3 months. 14

15

Côté et al. (2019) developed an evidence-based guideline for the non-pharmacological 16 management of persistent headaches associated with neck pain (i.e., tension-type or 17 cervicogenic). Authors concluded that when managing patients with headaches associated 18 with neck pain, clinicians should (a) rule out major structural or other pathologies, or 19 20 migraine as the cause of headaches; (b) classify headaches associated with neck pain as tension-type headache or cervicogenic headache once other sources of headache pathology 21 has been ruled out; (c) provide care in partnership with the patient and involve the patient 22 in care planning and decision making; (d) provide care in addition to structured patient 23 education; (e) consider low-load endurance craniocervical and cervicoscapular exercises 24 for tension-type headaches (episodic or chronic) or cervicogenic headaches >3 months 25 duration; (f) consider general exercise, multimodal care (spinal mobilization, 26 craniocervical exercise and postural correction) or clinical massage for chronic tension-27 type headaches; (g) do not offer manipulation of the cervical spine as the sole form of 28 treatment for episodic or chronic tension-type headaches; (h) consider manual therapy 29 (manipulation with or without mobilization) to the cervical and thoracic spine for 30 cervicogenic headaches >3 months duration. However, there is no added benefit in 31 combining spinal manipulation, spinal mobilization, and exercises; and (i) reassess the 32 33 patient at every visit to assess outcomes and determine whether a referral is indicated. Neck pain and headaches are very common comorbidities in the population. Authors Tension-34 type and cervicogenic headaches can be treated effectively with specific exercises. Manual 35 therapy can be considered as an adjunct therapy to exercise to treat patients with 36 cervicogenic headaches. The management of tension-type and cervicogenic headaches 37 should be patient-centered. 38

- 39
- Fernandez et al. (2020) evaluated the effectiveness of SMT for cervicogenic headache
 (CGHA). Seven trials were eligible. At short-term follow-up, there was a significant, small
 effect favoring SMT for pain intensity and small effects for pain frequency. There was no

Page 35 of 56

effect for pain duration. There was a significant, small effect favoring SMT for disability. 1 At intermediate follow-up, there was no significant effects for pain intensity and a 2 significant, small effect favoring SMT for pain frequency. At long-term follow-up, there 3 was no significant effects for pain intensity and for pain frequency. Authors concluded that 4 for CGHA, SMT provides small, superior short-term benefits for pain intensity, frequency, 5 and disability, but not pain duration, however, high-quality evidence in this field is lacking. 6 The long-term impact is not significant. Hawk et al. (2020) developed an evidence-based 7 clinical practice guideline through a broad-based consensus process on best practices for 8 chiropractic management of patients with chronic musculoskeletal (MSK) pain. Delphi 9 process was conducted January-February 2020. The 62-member Delphi panel reached 10 consensus on chiropractic management of five common chronic MSK pain conditions: 11 low-back pain, neck pain, tension headache, osteoarthritis (knee and hip), and 12 fibromyalgia. Recommendations were made for nonpharmacological treatments, including 13 acupuncture, spinal manipulation/mobilization, and other manual therapy; modalities such 14 as low-level laser and interferential current; exercise, including yoga; mind-body 15 interventions, including mindfulness meditation and cognitive behavior therapy; and 16 lifestyle modifications such as diet and tobacco cessation. Authors concluded that 17 clinicians should consider multiple approaches for chronic tension headache. Both active 18 and passive, and both physical and mind-body interventions should be considered in the 19 20 management plan. Spinal manipulation/mobilization was included in this recommendation for chronic tension headache. 21

22

Núñez-Cabaleiro et al. (2022) aimed to identify the manual therapy (MT) methods and 23 techniques that have been evaluated for the treatment of cervicogenic headache (CH) and 24 their effectiveness. Of a total of 14 articles selected, 11 were randomized control trials and 25 three were quasi-experimental studies. The techniques studied were spinal manipulative 26 therapy, Mulligan's Sustained Natural Apophyseal Glides, muscle techniques, and 27 translatory vertebral mobilization. In the short-term, the Jones technique on the trapezius 28 and ischemic compression on the sternocleidomastoid achieved immediate improvements, 29 whereas adding spinal manipulative therapy to the treatment can maintain long-term 30 results. Authors concluded that manual therapy techniques could be effective in the 31 treatment of patients with CH. The combined use of MT techniques improved the results 32 33 compared with using them separately. This review has methodological limitations, such as the inclusion of quasi-experimental studies and studies with small sample sizes that 34 reduced the generalizability of the results obtained. 35

36

McDevitt et al. (2022) sought to determine if thoracic spine manipulation (TSM) improves pain and disability in individuals with cervicogenic headache (CeH). A randomized controlled crossover trial was conducted on 48 participants (mean age: 34.4 years) with CeH symptoms. Participants were randomized to 6 sessions of TSM or no treatment (Hold) and after 4-weeks, groups crossed over. Outcomes were collected at 4, 8 and 12 weeks and included: headache disability inventory (HDI), neck disability index (NDI), and the global

Page 36 of 56
rating of change (GRC). Scores at 4 weeks represent the only timepoint where 1 group is fully treated and other group has not received any treatment. Comparing hold to active treatment, HDI were not significantly different between groups at any timepoint; the NDI was significant at 4 weeks. Odds of achieving the +4 MCID on the GRC favored TSM at 4 weeks. Authors concluded that TSM had no effect on headache-related disability but resulted in significant improvements in neck-related disability and participant reported perceived improvement.

8

Nambi et al. (2024) sought to find and compare the clinical effects of cervical spine over 9 thoracic spine manipulation and conventional physiotherapy in patients with Cervicogenic 10 11 Headache (CgH) given no technique can be singled as the best available treatment for patients with CgH. This prospective, randomized controlled study was conducted between 12 July 2020 and January 2023 at the University hospital. Ninety-six eligible patients with 13 CgH were selected based on selection criteria and they were divided into cervical spine 14 manipulation (CSM; n = 32), thoracic spine manipulation (TSM; n = 32) and conventional 15 physiotherapy (CPT; n = 32) groups, and received the respective treatment for four weeks. 16 Primary (CgH frequency) and secondary CgH pain intensity, CgH disability, neck pain 17 frequency, neck pain intensity, neck pain threshold, cervical flexion rotation test (CFRT), 18 neck disability index (NDI) and quality of life (QoL) scores were measured. The reports of 19 20 the CSM, TSM and CPT groups were compared between the groups. Four weeks following treatment CSM group showed more significant changes in primary (CgH frequency) and 21 secondary (CgH pain intensity, CgH disability, neck pain frequency, pain intensity, pain 22 threshold, CFRT, NDI and QoL) than the TSM and CPT groups. The same gradual 23 improvement was seen in the CSM group when compared to TSM and CPT groups in the 24 above variables at 8 weeks and 6 months follow-up. The reports of this randomized clinical 25 study found that CSM resulted in significantly better improvements in pain parameters 26 (intensity, frequency and threshold) functional disability and quality of life in patients with 27 CgH than thoracic spine manipulation and conventional physiotherapy. 28

29

30 SAFETY

A RCT by Maiers et al. (2015) collected data on adverse events that occurred as a result of 31 cervical SMT and exercise interventions in a senior population. Of those who received 32 33 SMT with home exercise, 74 out of 78 reported non-serious adverse events that were mostly musculoskeletal in nature such as muscle soreness, stiffness, headache, and joint 34 pain. Aggravated neck pain was the most reported symptom. It was noted that no subjects 35 withdrew from study participation due to these events. Also, in this group, three serious 36 37 adverse events were reported but deemed as likely unrelated due to the nature and absence of a temporal association. These included bradycardia and arrhythmia (n=2) and 38 39 myocardial infarction (n=1).

- 40
- Overall, no causal relationship between SMT and cervical artery dissection or stroke has
 been established. Cervical artery dissection is a rare event in itself and has been associated

with SMT, other treatments disparate from any manual therapy, and general movements of the neck. Prior to delivering an intervention such as SMT, clinicians are advised to attempt to identify a potential arterial or ischemic event in progress. The primary appropriate screening method seems to be taking an effective history to recognize conjunctive features.

5

Cervical mobilization and manipulation have been suspected of creating a cervical artery 6 dissection (CAD) as an adverse event. However, these assumptions are based on case 7 studies which are unable to establish direct causality. Chaibi and Bjørn Russel (2019) 8 conducted a literature review to provide clinicians with an updated step-by-step risk-9 benefit assessment strategy tool to (a) facilitate clinicians understanding of CAD, (b) 10 11 appraise the risk and applicability of cervical manual-therapy, and (c) provide clinicians with adequate tools to better detect and exclude CAD in clinical settings. Cervical artery 12 dissection refers to a tear in the internal carotid or the vertebral artery that results in an 13 intramural haematoma and/or aneurysmal dilatation. Although cervical artery dissection is 14 thought to occur spontaneously and is rare, physical trauma to the neck, especially 15 hyperextension and rotation, has been reported as a trigger. Headache and/or neck pain is 16 the most common initial symptom of cervical artery dissection. Other symptoms include 17 Horner's syndrome and lower cranial nerve palsy. Both headache and/or neck pain are 18 common symptoms and leading causes of disability. Because manual-therapy interventions 19 20 can alleviate headache and/or neck pain, many patients seek manual therapists, such as chiropractors and physiotherapists to help them manage symptoms. There is debate as to 21 whether CAD symptoms lead the patient to seek cervical manual-therapy or whether the 22 cervical manual therapy provoked CAD along with the non-CAD presenting complaints. 23 Thus, practitioners need to be diligent with subjective and objective evaluations of patients 24 to understand the risk for CAD and whether to address its potential existence. 25

26

27 Chu et al. (2022) examined the incidence and severity of adverse events (AEs) of patients receiving chiropractic spinal manipulative therapy (SMT), with the hypothesis that < 1 per 28 100,000 SMT sessions results in a grade \geq 3 (severe) AE. A secondary objective was to 29 examine independent predictors of grade \geq 3 AEs. They identified patients with SMT-30 related AEs from January 2017 through August 2022 across 30 chiropractic clinics in Hong 31 Kong. AE data were extracted from a complaint log, including solicited patient surveys, 32 33 complaints, and clinician reports, and corroborated by medical records. AEs were independently graded 1-5 based on severity (1-mild, 2-moderate, 3-severe, 4-life-34 threatening, 5-death). Among 960,140 SMT sessions for 54,846 patients, 39 AEs were 35 identified, two were grade 3, both of which were rib fractures occurring in women age > 36 60 with osteoporosis, while none were grade \geq 4, yielding an incidence of grade \geq 3 AEs 37 of 0.21 per 100,000 SMT sessions (95% CI 0.00, 0.56 per 100,000). There were no AEs 38 39 related to stroke or cauda equina syndrome. The sample size was insufficient to identify predictors of grade \geq 3 AEs using multiple logistic regression. In this study, severe SMT-40 related AEs were reassuringly very rare. 41

CPG 285 Revision 8 – S Spinal Manipulative Therapy (SMT) for Musculoskeletal and Related Disorders **Revised – April 17, 2025** To CQT for review 03/10/2025 CQT reviewed 03/10/2025 To QIC for review and approval 04/01/2025 QIC reviewed and approval 04/01/2025 To QOC for review and approval 04/17/2025 OOC reviewed and approved 04/17/2025

Page 38 of 56

Whedon et al. (2022) evaluated the association between cervical spinal manipulation and 1 cervical artery dissection among older Medicare beneficiaries in the United States. The 2 primary exposure was cervical spinal manipulation; the secondary exposure was a clinical 3 encounter for evaluation and management for neck pain or headache. They created a 3-4 level categorical variable, (1) any cervical spinal manipulation, 2) evaluation and 5 management but no cervical spinal manipulation and (3) neither cervical spinal 6 manipulation nor evaluation and management. The primary outcomes were occurrence of 7 cervical artery dissection, either (1) vertebral artery dissection or (2) carotid artery 8 dissection. The cases had a new primary diagnosis on at least one inpatient hospital claim 9 or primary/secondary diagnosis for outpatient claims on at least two separate days. Cases 10 11 were compared to 3 different control groups: (1) matched population controls having at least one claim in the same year as the case; (2) ischemic stroke controls without cervical 12 artery dissection; and (3) case-crossover analysis comparing cases to themselves in the time 13 period 6-7 months prior to their cervical artery dissection. Comparison across three 14 different time frames occurred: up to (1) 7 days; (2) 14 days; and (3) 30 days prior to index 15 event. The odds of cervical spinal manipulation versus evaluation and management did not 16 significantly differ between vertebral artery dissection cases and any of the control groups 17 at any of the timepoints (odds ratio 0.84 to 1.88; p > 0.05). Results for carotid artery 18 dissection cases were similar. Authors concluded that among Medicare beneficiaries aged 19 20 65 and older who received cervical spinal manipulation, the risk of cervical artery dissection is no greater than that among control groups. 21

22

Gorrell et al. (2023) sought to describe if there has been a change in the reporting of adverse 23 events associated with spinal manipulation in randomized clinical trials (RCTs) since 2016 24 in a systematic review. There were 5,399 records identified by the electronic searches, of 25 which 154 (2.9%) were included in the analysis. Of these, 94 (61.0%) reported on adverse 26 events with only 23.4% providing an explicit description of what constituted an adverse 27 event. Reporting of adverse events in the abstract has increased (n=29, 30.9%) while 28 reporting in the results section has decreased (n=83, 88.3%) over the past 6 years. Spinal 29 manipulation was delivered to 7,518 participants in the included studies. No serious 30 adverse events were reported in any of these studies. Authors concluded that while the 31 current level of reporting of adverse events associated with spinal manipulation in RCTs 32 33 has increased since the 2016 publication on the same topic, the level remains low and inconsistent with established standards. 34

35

Pankrath et al. (2024) extracted available information from RCTs to synthesize the comparative risk of AEs following cervical manipulation to that of various control interventions in a systematic review and meta-analysis due to the unclear risk level of AEs associated with high-velocity, low-amplitude (HVLA) cervical manipulation. Studies finding an association between cervical manipulation and serious AEs such as artery dissections are mainly case control studies or case reports. These study designs are not appropriate for investigating incidences and therefore do not imply causal relationships.

Page 39 of 56

Randomized controlled trials (RCTs) are considered the gold standard study designs for 1 assessing the unconfounded effects of benefits and harms, such as AEs, associated with 2 therapies. Fourteen articles were included in the systematic review and meta-analysis. The 3 pooled IRR indicates no statistically significant differences between the manipulation and 4 control groups. All the reported AEs were classified as mild, and none of the AEs reported 5 were serious or moderate. In summary, HVLA manipulation does not impose an increased 6 risk of mild or moderate AEs compared to various control interventions. However, these 7 results must be interpreted with caution, since RCTs are not appropriate for detecting the 8 rare serious AEs. In addition, future RCTs should follow a standardized protocol for 9 reporting AEs in clinical trials. 10

11

PRACTITIONER SCOPE AND TRAINING 12

Practitioners should practice only in the areas in which they are competent based on their 13 education, training, and experience. Levels of education, experience, and proficiency may 14 vary among individual practitioners. It is ethically and legally incumbent on a practitioner 15 to determine where they have the knowledge and skills necessary to perform such services 16 and whether the services are within their scope of practice. 17

18

It is best practice for the practitioner to appropriately render services to a member only if 19 20 they are trained, equally skilled, and adequately competent to deliver a service compared to others trained to perform the same procedure. If the service would be most competently 21 delivered by another health care practitioner who has more skill and training, it would be 22 best practice to refer the member to the more expert practitioner. 23

24

Best practice can be defined as a clinical, scientific, or professional technique, method, or 25 process that is typically evidence-based and consensus driven and is recognized by a 26 majority of professionals in a particular field as more effective at delivering a particular 27 outcome than any other practice (Joint Commission International Accreditation Standards 28 29 for Hospitals, 2020).

30

Depending on the practitioner's scope of practice, training, and experience, a member's 31 condition and/or symptoms during examination or the course of treatment may indicate the 32 33 need for referral to another practitioner or even emergency care. In such cases it is prudent for the practitioner to refer the member for appropriate co-management (e.g., to their 34 primary care physician) or if immediate emergency care is warranted, to contact 911 as 35 appropriate. See the Managing Medical Emergencies (CPG 159 - S) clinical practices 36 37 guideline for information.

38

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